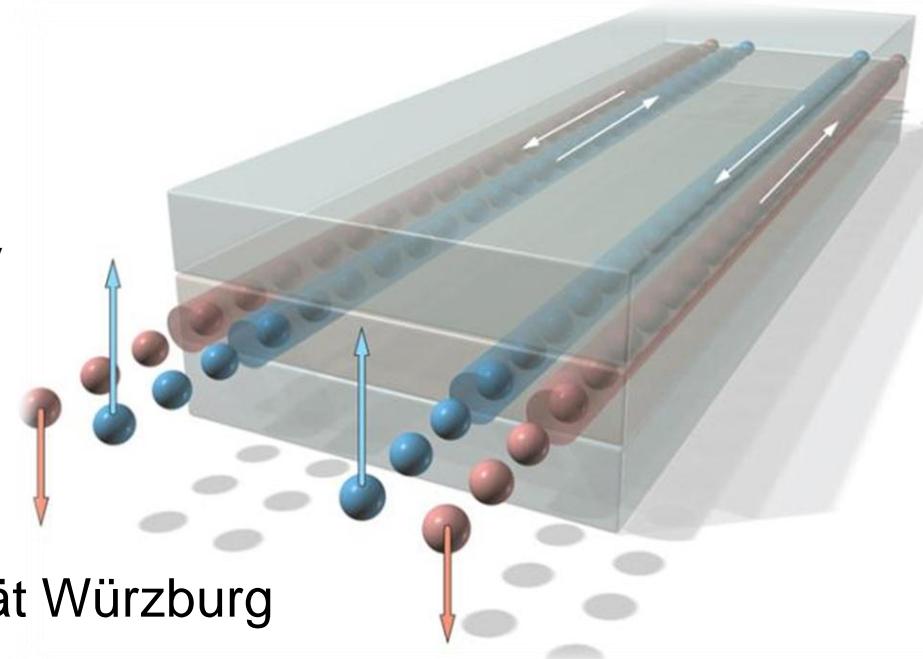


# Correlation Effects in Quantum Spin Hall Insulators

Zi Yang Meng

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- Martin Hohenadler      Universität Würzburg
- Fakher F. Assaad
- Thomas C. Lang      RWTH Aachen University
- Stefan Wessel
- Alejandro Muramatsu      Universität Stuttgart

- Z. Y. Meng et al., Nature 464, (2010)
- M. Hohenadler et al., PRL 106, (2011)
- Z. Y. Meng et al., in preparation

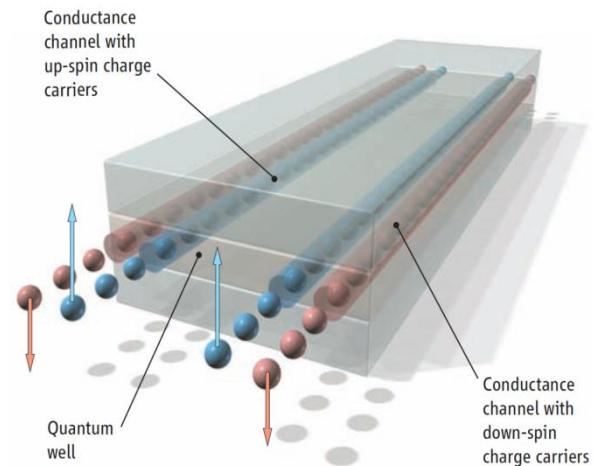


# Motivation

## Topological / Quantum spin-Hall insulators

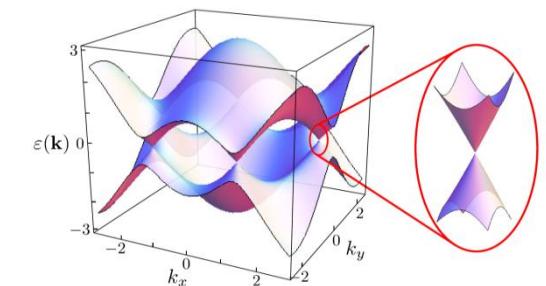
- New state of matter from strong spin-orbit (SO) coupling.
- Predicted and realized in HgTe QWs.

Bernevig et al., Science 2006; König et al., Science 2007



## Correlated Dirac fermions

- Hubbard model on the honeycomb lattice,  
Quantum spin liquid phase.  
Meng et al., Nature (2010)
- Massless Dirac fermions in Graphene with SO coupling.  
Kane and Mele, Phys. Rev. Lett. (2005)

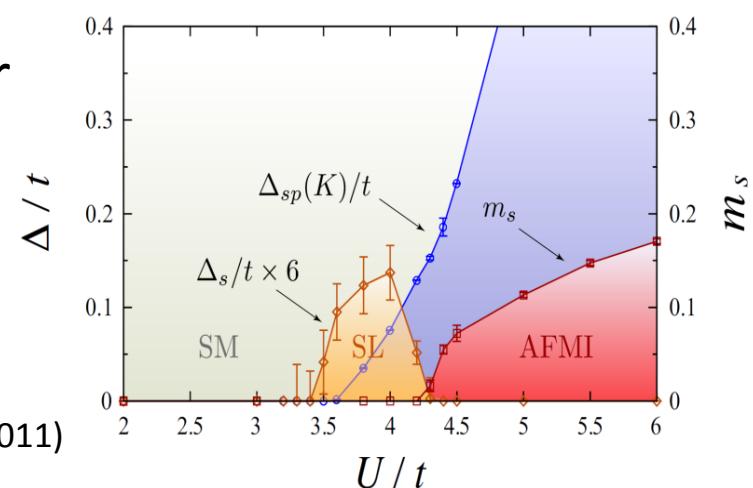


## Topological / Quantum spin-Hall insulator

### + Interactions

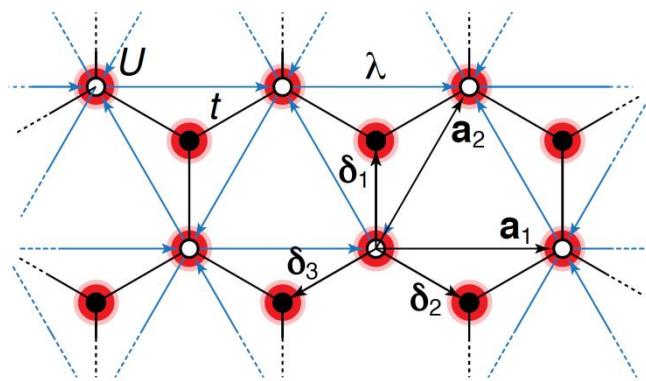
- Hasan and Kane, Rev. Mod. Phys. (2010)  
Pesin and Balents, Nature Physics (2010)  
Rachel and Le Hur, Phys. Rev. B (2010)  
D. Zheng et al., arXiv:1011.5858 (2010)  
S.-L. Yu et al., Phys. Rev. Lett. (2011)

D.-H. Lee, arXiv:1105.4900 (2011); Griset and Xu, arXiv:1107.1245 (2011)





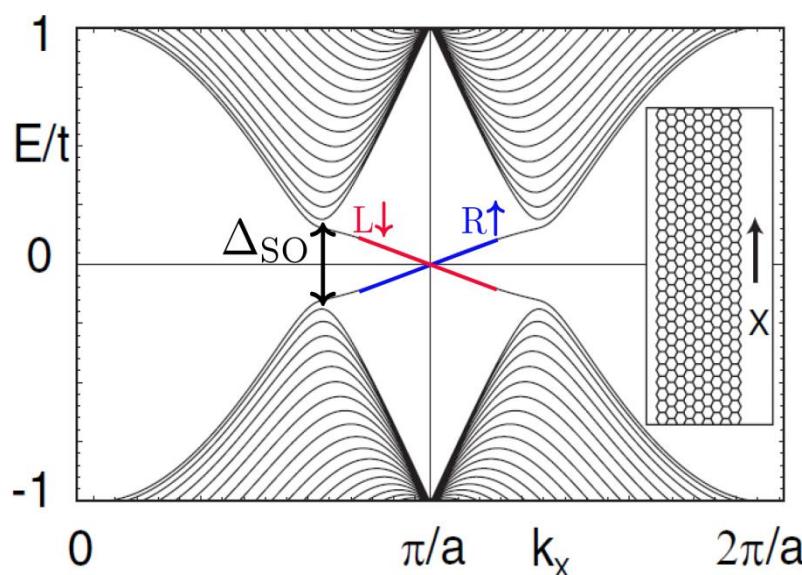
# Kane-Mele-Hubbard Model



$$H = -t \sum_{\langle i,j \rangle} c_i^\dagger c_j + i\lambda \sum_{\langle\langle i,j \rangle\rangle} \nu_{ij} c_i^\dagger s^z c_j + \frac{U}{2} \sum_i (c_i^\dagger c_i - 1)^2$$

$$c_i^\dagger = (c_{i\uparrow}^\dagger, c_{i\downarrow}^\dagger)$$
$$\nu_{ij} = \pm 1$$

- Nearest-neighbor hopping  $t$
- Spin-orbit coupling  $\lambda$
- Coulomb repulsion  $U$
- $\lambda \neq 0$ :  $SU(2) \rightarrow U(1)$ ,  $C_6 \rightarrow C_3$



## U=0      Kane-Mele Model

- Time reversal invariant QSH insulator
- Spin-orbital bulk gap  $\Delta_{SO} = 3\sqrt{3}\lambda$
- Gapless, helical edge states (topologically protected)

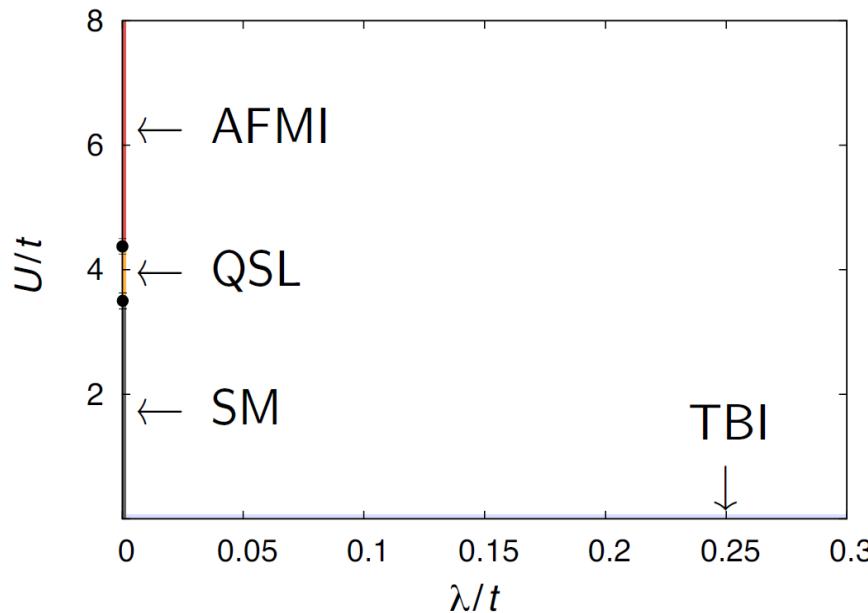
Kane and Mele, Phys. Rev. Lett. (2005)  
C. Wu et al., Phys. Rev. Lett. (2006)



# Phase diagram of Kane-Mele-Hubbard Model

## Limiting cases

- Dirac fermions ( $\lambda = 0$ )  
Semimetal (SM) → Quantum Spin Liquid (QSL) →  
Antiferromagnetic Mott Insulator (AFMI)
- Kane-Mele Model ( $U = 0$ )  
Topological Band Insulator (TBI)



- Magnetic transition at  $\lambda > 0$  ?
- Effect of  $\lambda$  on QSL and SM ?
- Effect of  $U$  on the helical edge states ?

## Method

Projective (zero temperature) Determinantal Quantum Monte Carlo

- Hubbard-Stratonovich transformation, auxiliary field of integer spins
- Scales as  $\sim N_\tau N^3$  ( $N_\tau$  imaginary time slices,  $N = 2L^2$  system size)
- Sign-problem free at half-filling



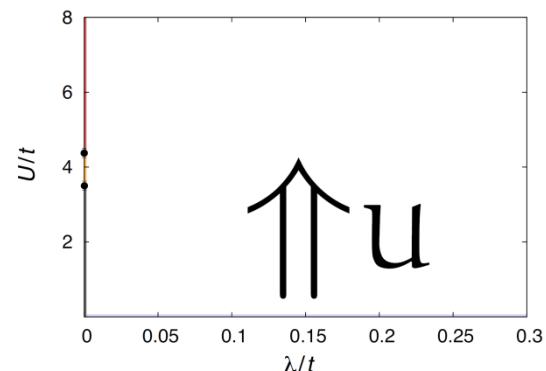
# Magnetic transition at finite Spin-Orbit coupling

$\lambda = 0$ : Heisenberg AFMI with  $J = 4t^2/U$  at large  $U/t$

$\lambda > 0$ : z-direction frustrated, **easy-plane XY order**

Magnetic structure factor:

$$S_{\text{AF}}^{xy} = \frac{1}{L^2} \sum_{i,j} (-1)^{i+j} \langle \Psi_0 | S_i^+ S_j^- + S_i^- S_j^+ | \Psi_0 \rangle$$



$U(1)$  symmetry is spontaneously broken, expected (2+1)D XY universality class

D.-H. Lee, arXiv (2011); Griset and Xu, arXiv (2011)

Finite size scaling:

$$\frac{S_{\text{AF}}^{xy}}{N} = L^{-2\beta/\nu} f_1[(U - U_c)L^{1/\nu}]$$

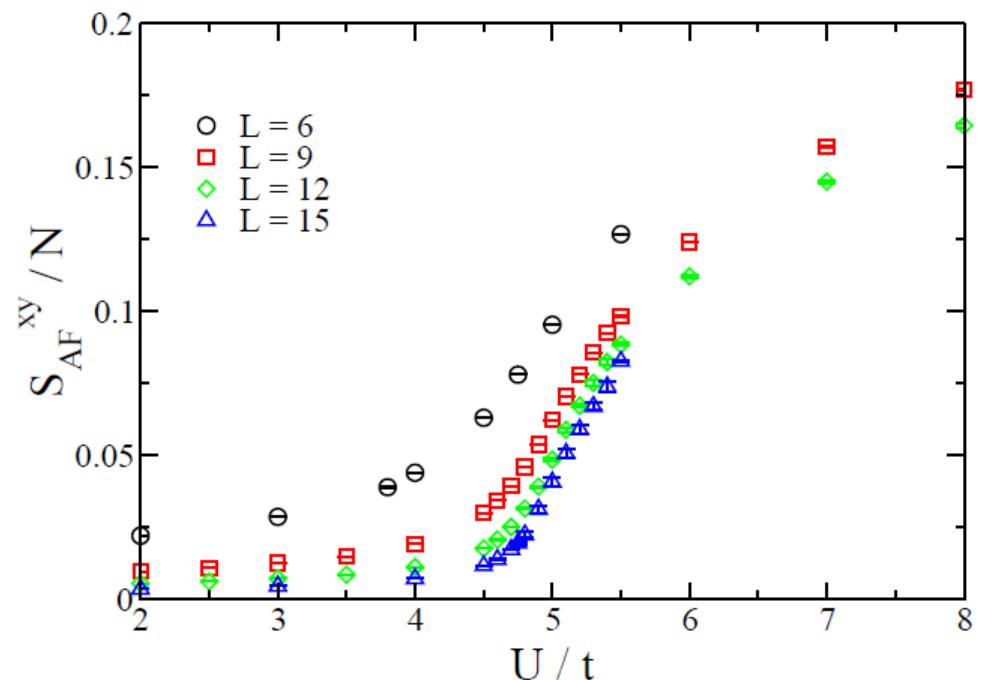
3D XY exponents:

$$\beta = 0.3486(1)$$

$$\nu = 0.6717(1)$$

$$z = 1$$

Campostrini et al., PRB 74 (2006)





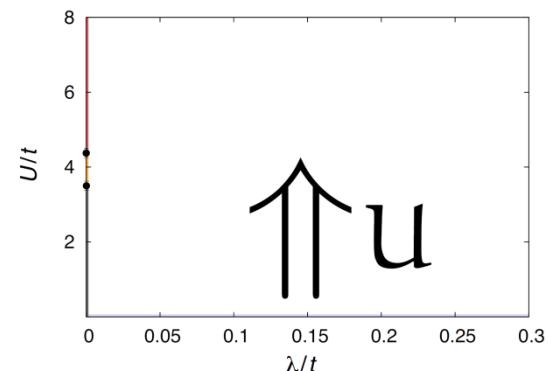
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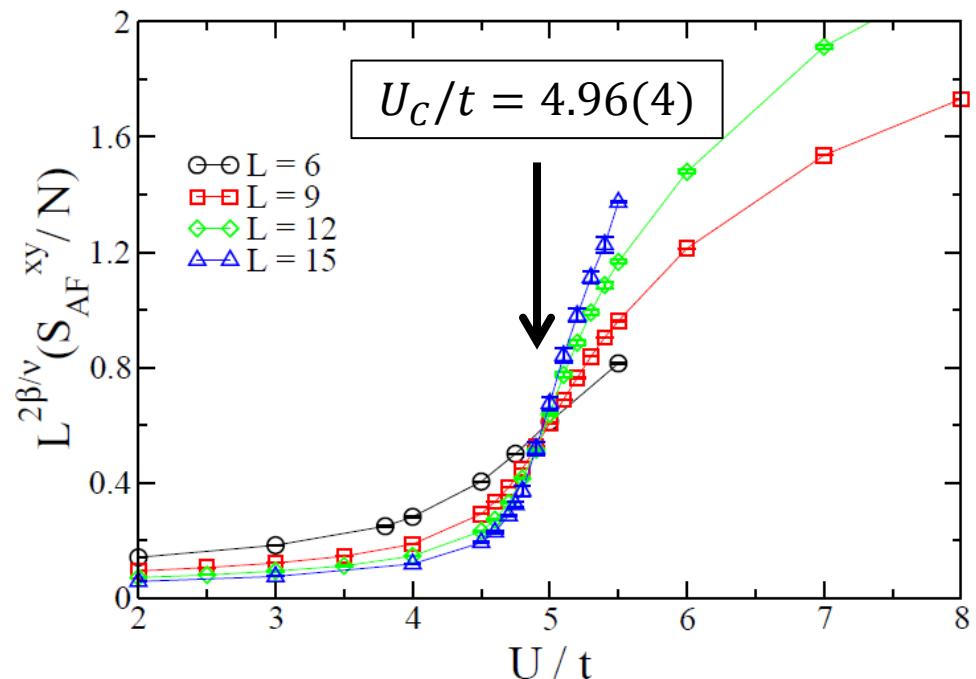
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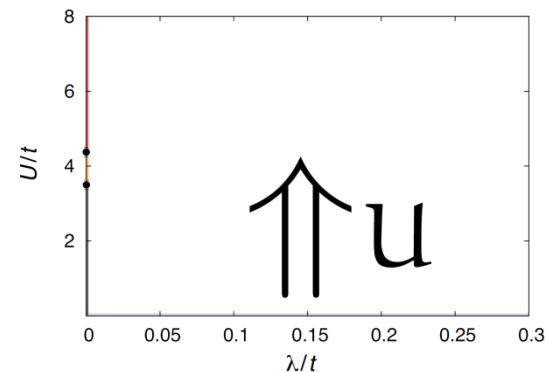
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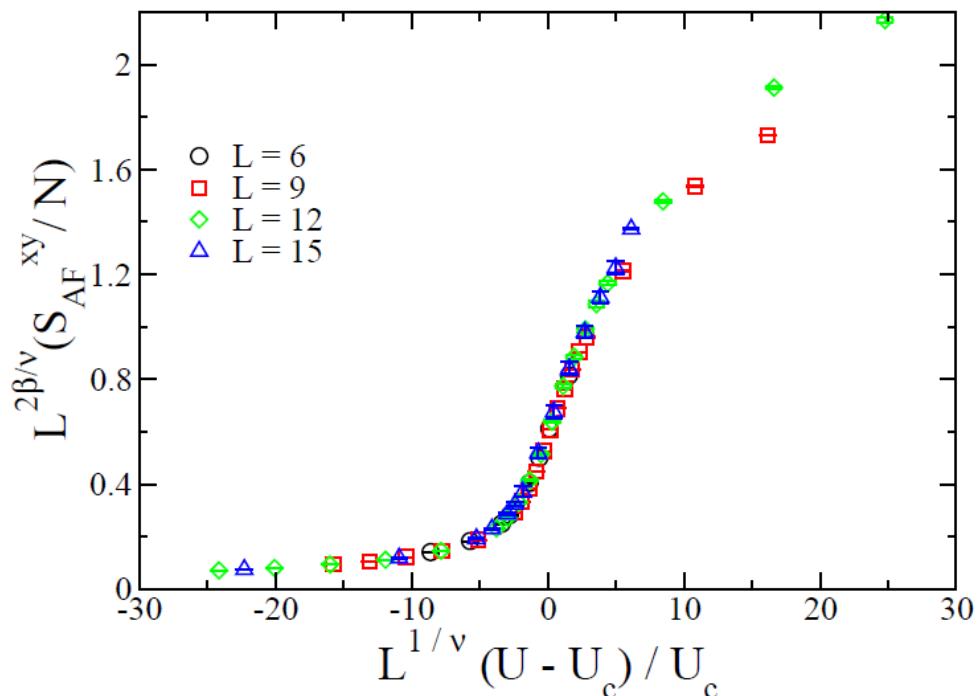
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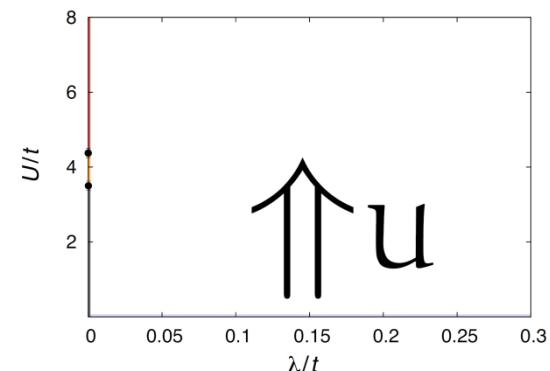
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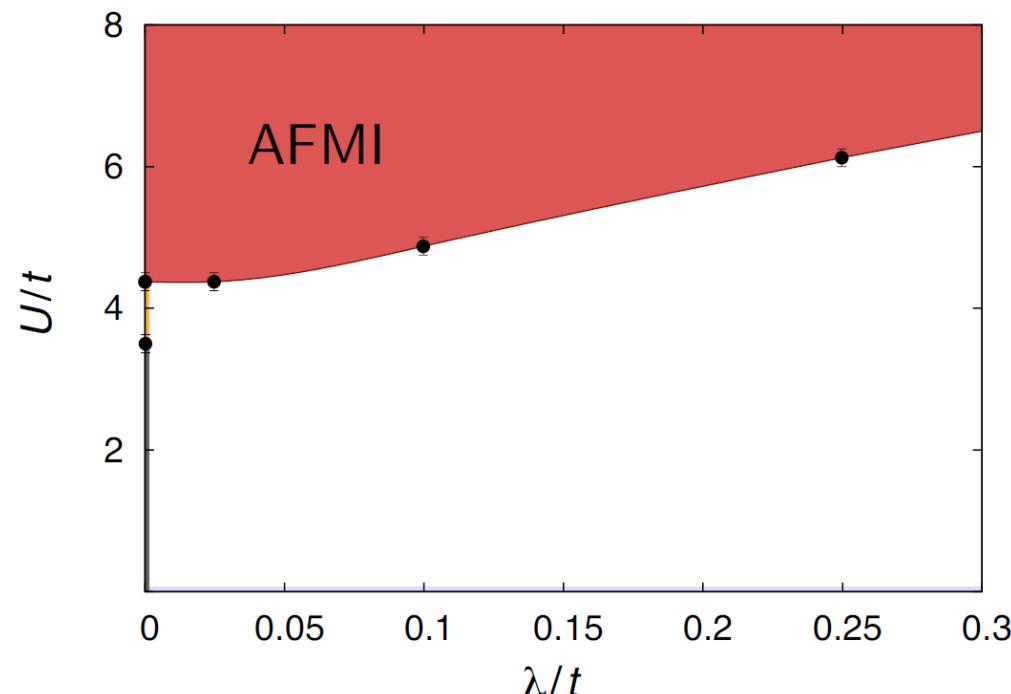
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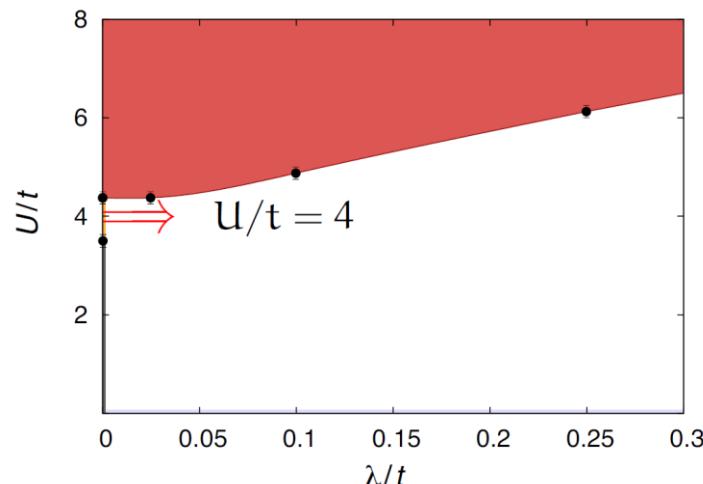


$U(1)$  symmetry is spontaneously broken, (2+1)D XY universality class



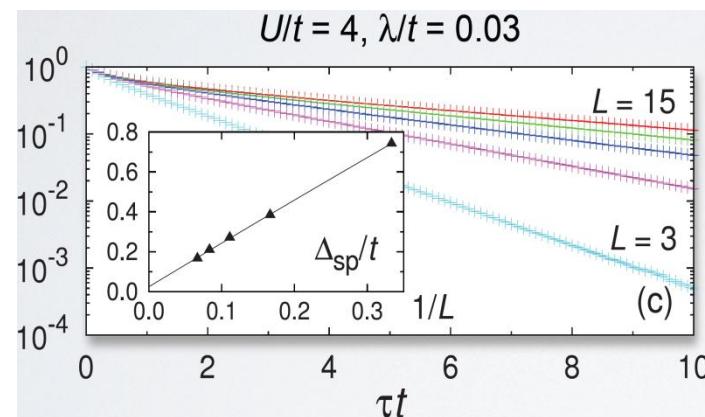


# What happens to QSL at finite $\lambda > 0$ ?



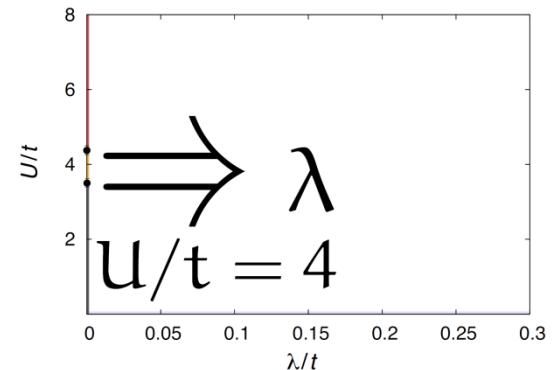
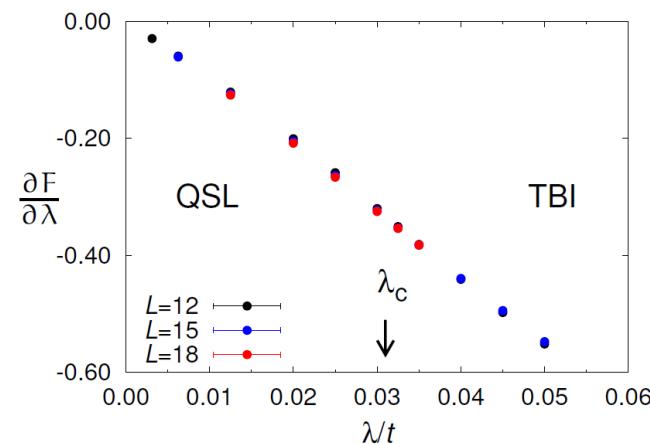
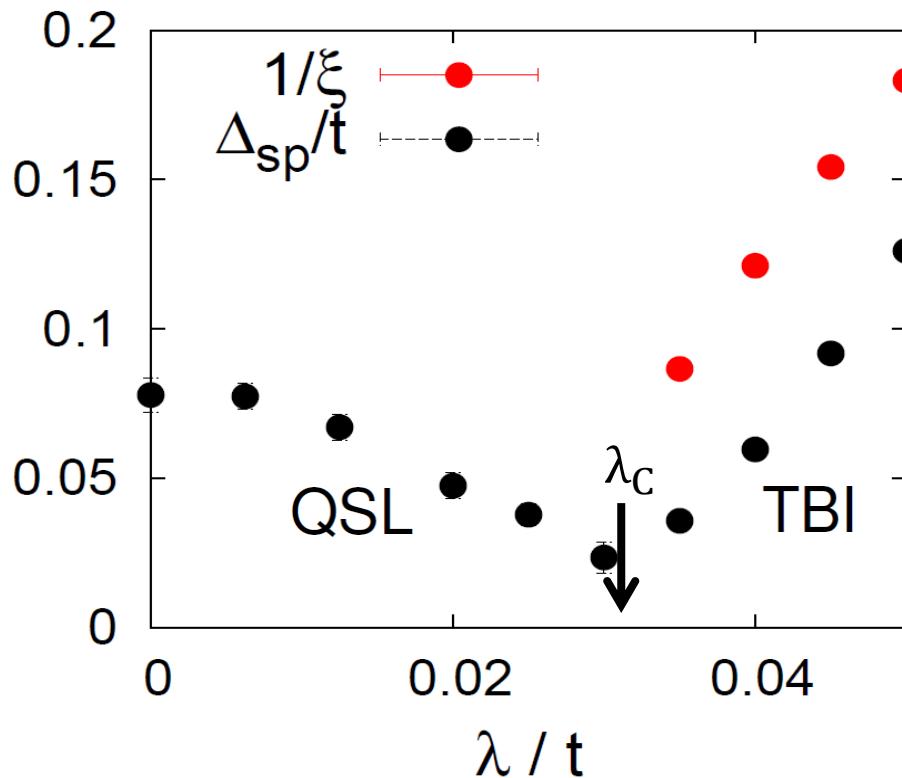
- No local order parameter in the QSL, nor TBI.
- Single particle gap at the Dirac point:

$$G(\mathbf{K}, \tau) = \langle \Psi_0 | c_{\mathbf{K}, \sigma}^\dagger(\tau) c_{\mathbf{K}, \sigma} | \Psi_0 \rangle \approx Z \exp(-\tau \Delta_{\text{sp}})$$





# QSL to TBI transition with increasing $\lambda$



Extrapolated single particle gap:

- QSL – TBI transition at  $\lambda_C \approx 0.03t$
- States not adiabatically connected

Free energy derivative:

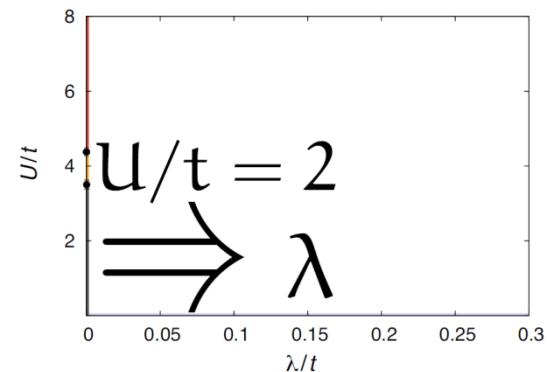
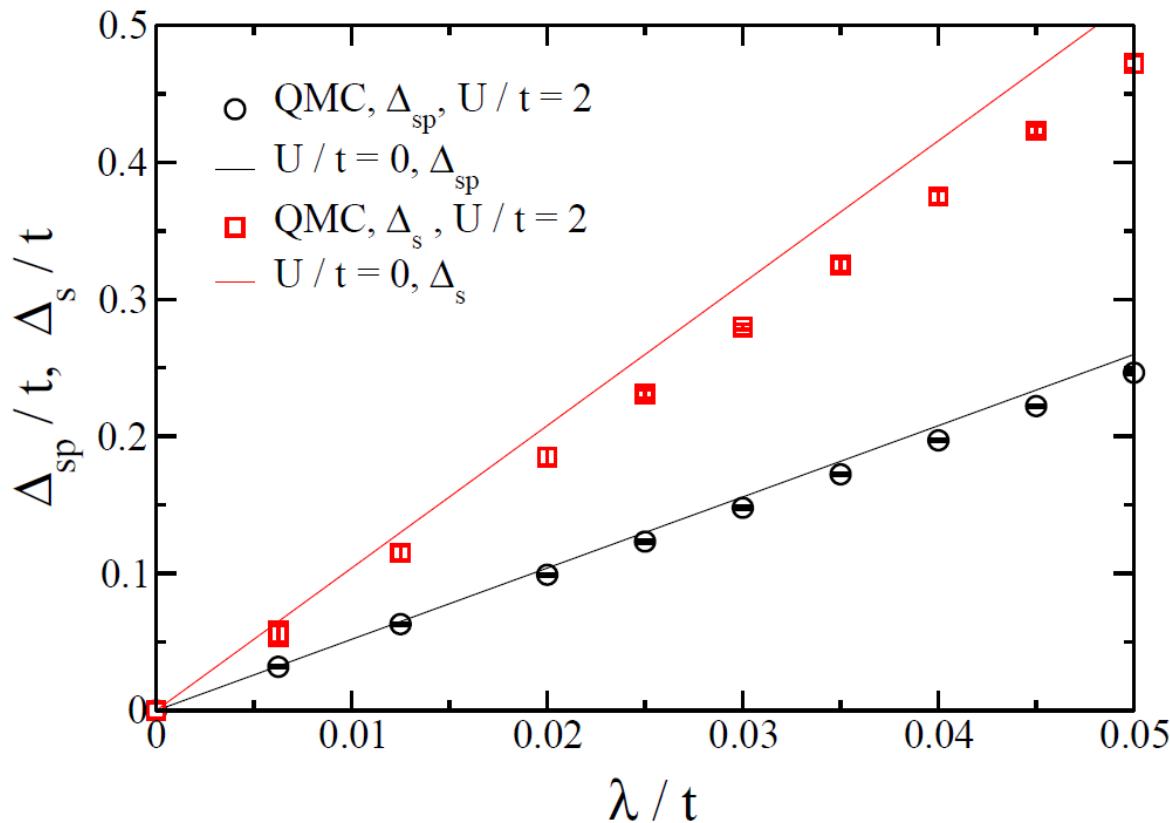
$$\frac{\partial F}{\partial \lambda} = \langle i \sum_{\langle i,j \rangle} v_{ij} c_i^\dagger s^z c_j \rangle$$

Transition appears to be continuous.  
Predicted to be 1<sup>st</sup> order



# Effect of Spin-Orbit coupling on semi-metal

Extrapolated single particle gap  $\Delta_{\text{sp}}$  and spin gap  $\Delta_s$

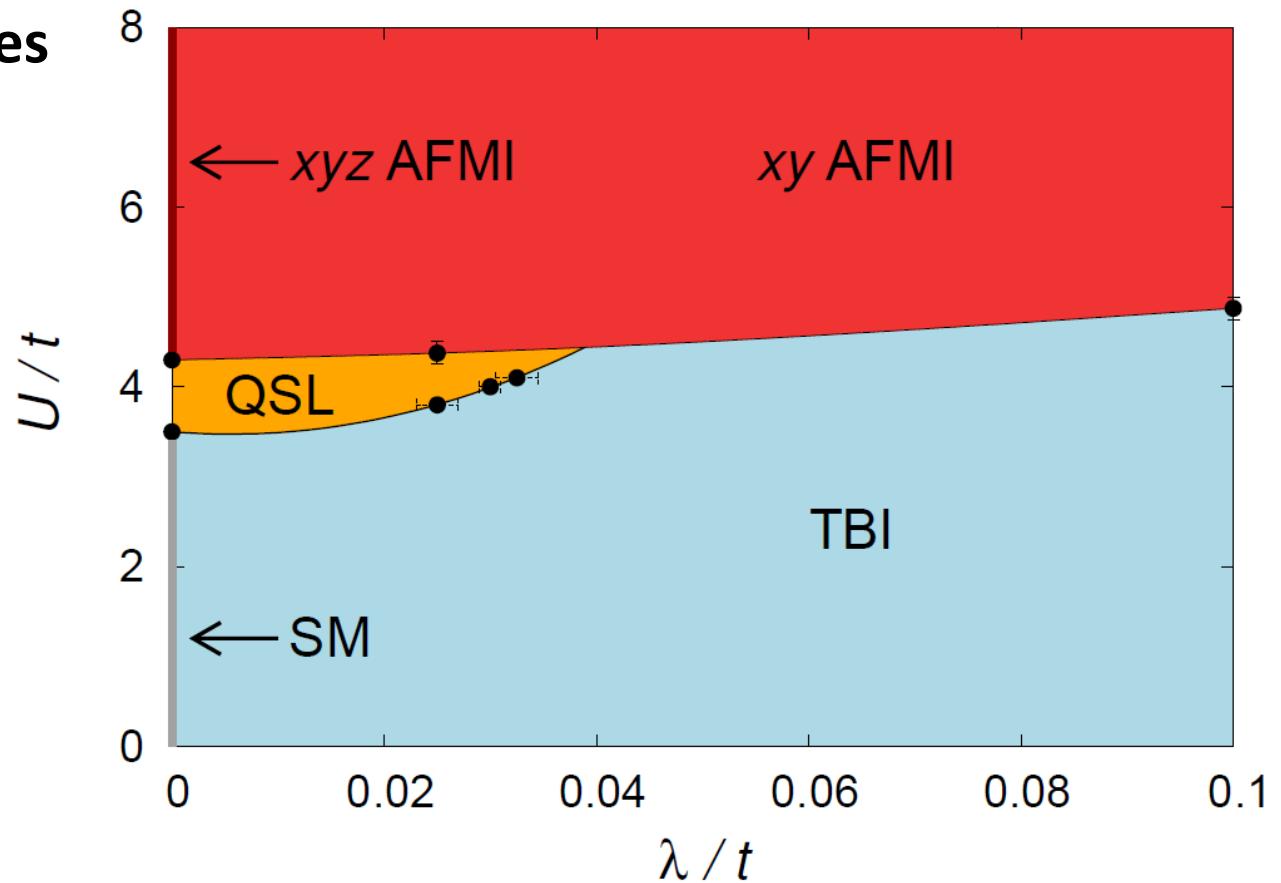


- Agree with  $U=0$  results:  $\Delta_{\text{sp}} = 3\sqrt{3}\lambda$ ,  $\Delta_s = 6\sqrt{3}\lambda$ .
- SM to TBI transition at  $\lambda = 0^+$ .



# Phase diagram of Kane-Mele-Hubbard Model

5 different phases



Benchmark & unify recent works:

- Rachel and Le Hur, Phys. Rev. B (2010) (mean-field, slave rotor)
- D. Zheng et al., arXiv:1011.5858 (2010) (QMC)
- S.-L. Yu et al., Phys. Rev. Lett. (2011) (VCA)
- Yamaji and Imada, Phys. Rev. B (2011) (VMC)
- W. Wu et al., arXiv:1106.0943 (2011) (DMFT)
- D.-H. Lee, arXiv:1105.4900 (2011) (QFT)
- Griset and Xu, arXiv:1107.1245(2011) (QFT)