



# Announcements:

Mid-Term Exam:

Time: 9:00 am – 10:30 am  
Thursday (Oct. 14<sup>th</sup>)

Location: 106 Nicholson Hall

Style: open book

# Phonons in Metals

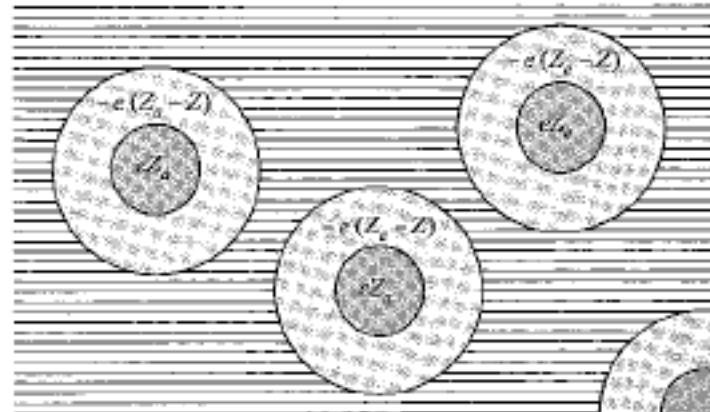
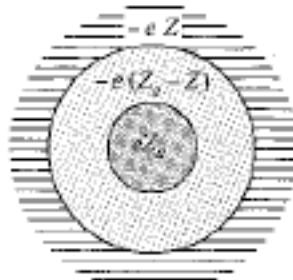
Recall:

$$C_v = C_{electron} + C_{phonon}$$

$$K = K_{electron} + K_{phonon}$$

$$\rho = \rho_{electron} + \rho_{phonon}$$

# In Metals



Nucleus  
 Core electrons  
 Valence electrons

Ion Nucleus  
 Core  
 Conduction electrons

- ★ ions are charged
- ★ conduction electrons are present

# Recall:

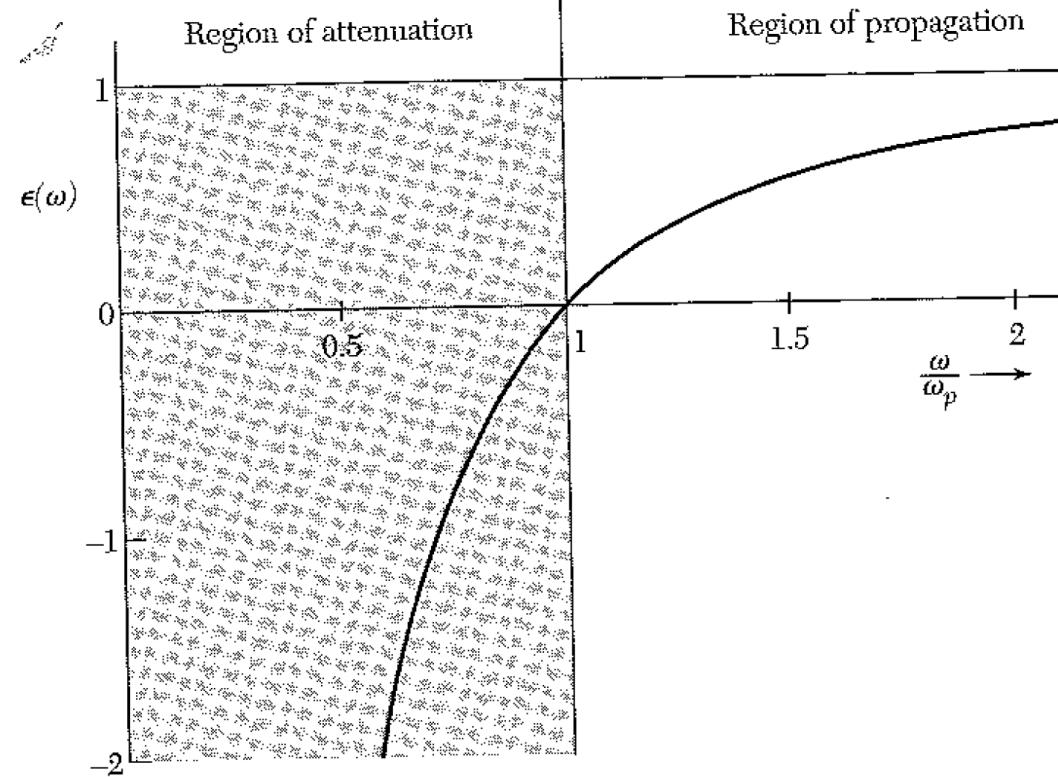
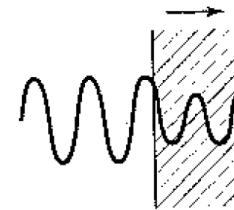
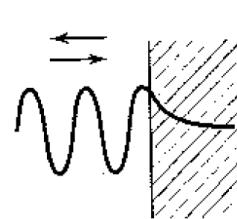
$$\omega_p^2 = \frac{4\pi n_e e^2}{m}$$

	Name	Field
	Electron	—
	Photon	Electromagnetic wave
	Phonon	Elastic wave
	Plasmon	Collective electron wave
	Magnon	Magnetization wave
—	Polaron	Electron + elastic deformation
—	Exciton	Polarization wave

$$\epsilon(\omega) = 1 - \frac{\omega_p^2}{\omega^2}$$

$$\epsilon(\omega) < 0$$

$$\epsilon(\omega) > 0$$



## Electrons

e

m

$n_e$

$$\omega_p^2 = \frac{4\pi n_e e^2}{m}$$

electronic plasma frequency

Phonon dispersion

$$\omega(\mathbf{k})^2 = \frac{\Omega_p^2}{\epsilon(\mathbf{k})}$$

## ions

Ze

M

$n_{ion} = n_e / Z$

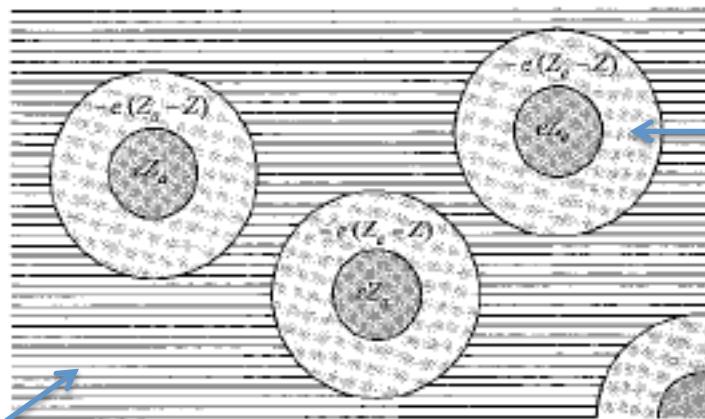
$$\Omega_p^2 = \frac{4\pi n_i (Ze)^2}{M}$$

ionic plasma frequency

electron gas  
dielectric constant

# Electrons

# ions



Electron gas is deformed by

- (1) The electrostatic potential of the positive charge distribution;
- (2) induced electrostatic potential of the deformation of electron gas itself



$$\varepsilon(0, \mathbf{k}) = 1 + \frac{k_0^2}{k^2} \quad \text{-- dielectric constant of Thomas-Fermi form}$$

$k_0^{-1}$  -- Thomas-Fermi screening length

# Longitudinal Plasma Oscillations

Define:  $\varepsilon(\omega_L) = 0$

$$\varepsilon_{electron}(\omega, \mathbf{k}) = 1 + \frac{k_0^2}{k^2} \quad \varepsilon_{phonon}(\omega, \mathbf{k}) = 1 - \frac{\Omega_p^2}{\omega^2}$$



$$\varepsilon(\omega_L) = \varepsilon_{electron}(\omega, k) + \varepsilon_{phonon}(\omega, k) = 0$$



Ignored constant term

$$\omega^2 = \frac{\Omega_p^2}{k_0^2} k^2 = \frac{m_e}{3M_{ion}} v_F^2 k^2$$



$$\omega = v_p k$$

$$v_p = \sqrt{\frac{m_e}{3M_{ion}}} v_F$$

$$v_p \ll v_F$$

# Screened Coulomb Potential

unscreened  $\rightarrow \varphi_0(k)$   
 screened  $\rightarrow \varphi(k) = \frac{\varphi_0(k)}{\varepsilon(0, \mathbf{k})}$



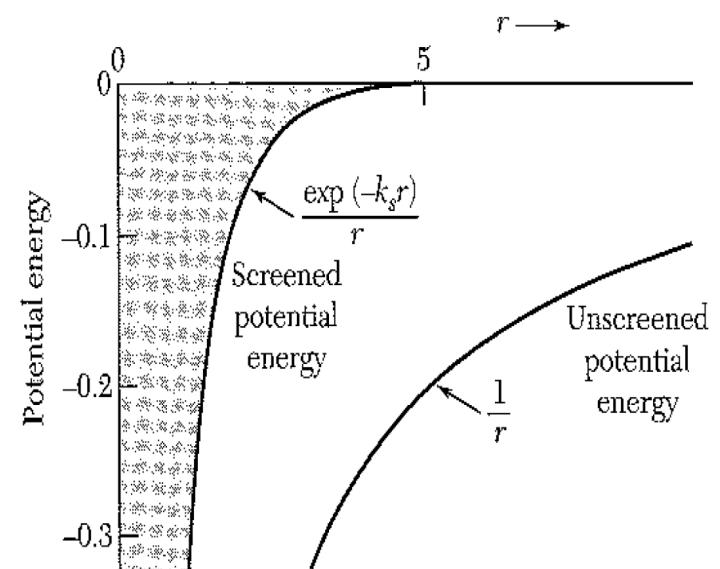
$$\varepsilon(0, \mathbf{k}) = 1 + \frac{k_0^2}{k^2}$$

$$\varphi(k) = \frac{4\pi q}{k^2 + k_0^2}$$

Fourier transform



$$\begin{aligned} \varphi(r) &= \frac{4\pi q}{(2\pi)^3} \int_0^\infty dk \varphi(k) \int_{-1}^1 d(\cos \theta) e^{(ikr \cos \theta)} \\ &= \frac{q}{r} e^{(-k_0 r)} = \varphi_0(r) e^{(-k_0 r)} \end{aligned}$$



# Screened Coulomb Potential

unscreened  $\rightarrow \varphi_0(k)$   
 screened  $\rightarrow \varphi(k) = \frac{\varphi_0(k)}{\varepsilon(0, \mathbf{k})}$



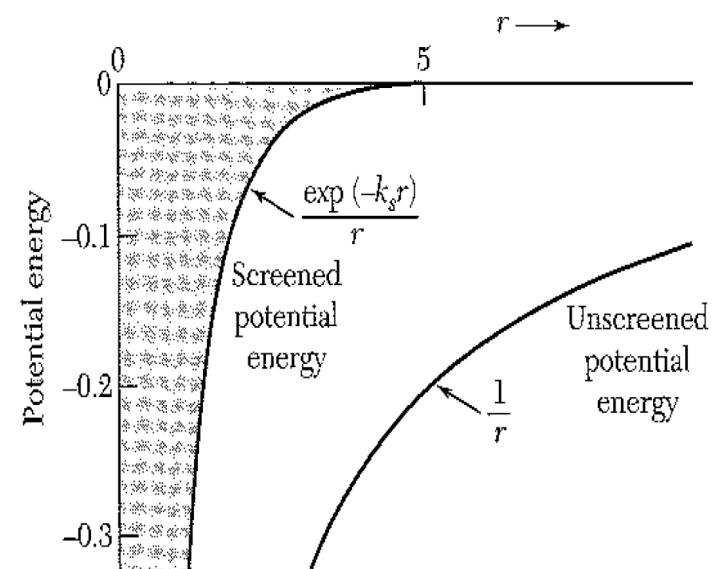
$$\varepsilon(0, \mathbf{k}) = 1 + \frac{k_0^2}{k^2}$$

$$\varphi(k) = \frac{4\pi q}{k^2 + k_0^2}$$

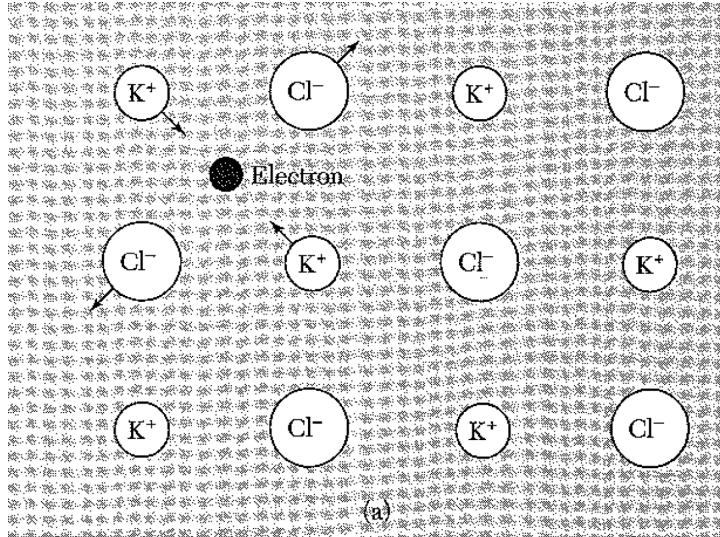
Fourier transform



$$\begin{aligned} \varphi(r) &= \frac{4\pi q}{(2\pi)^3} \int_0^\infty dk \varphi(k) \int_{-1}^1 d(\cos \theta) e^{(ikr \cos \theta)} \\ &= \frac{q}{r} e^{(-k_0 r)} = \varphi_0(r) e^{(-k_0 r)} \end{aligned}$$

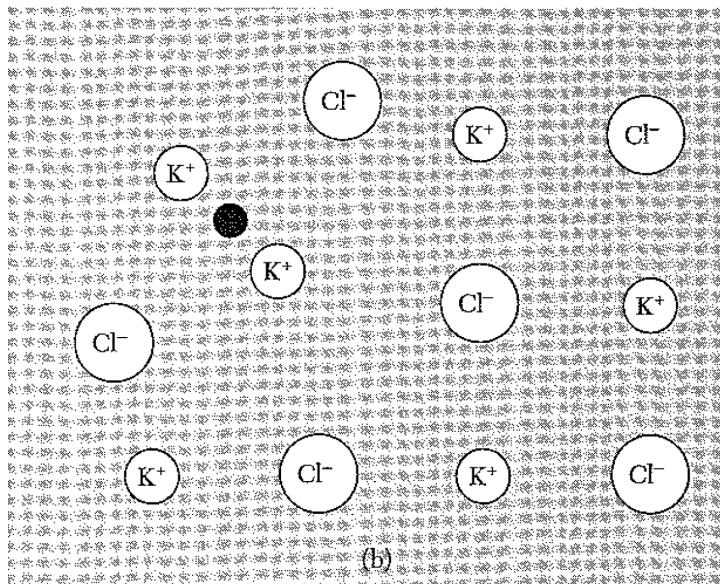


# Electron-Phonon Interaction



Electron-phonon coupling constant:  $\lambda$

$$\lambda = 2 \frac{\text{deformation - energy}}{\hbar\omega_L}$$



Large in ionic crystals  
Small in covalent crystals

# Phonon Contribution to the Electronic Energy-Wave Vector Relation $\varepsilon(\mathbf{k})$

Ionic screening to  
Electronic energy distribution

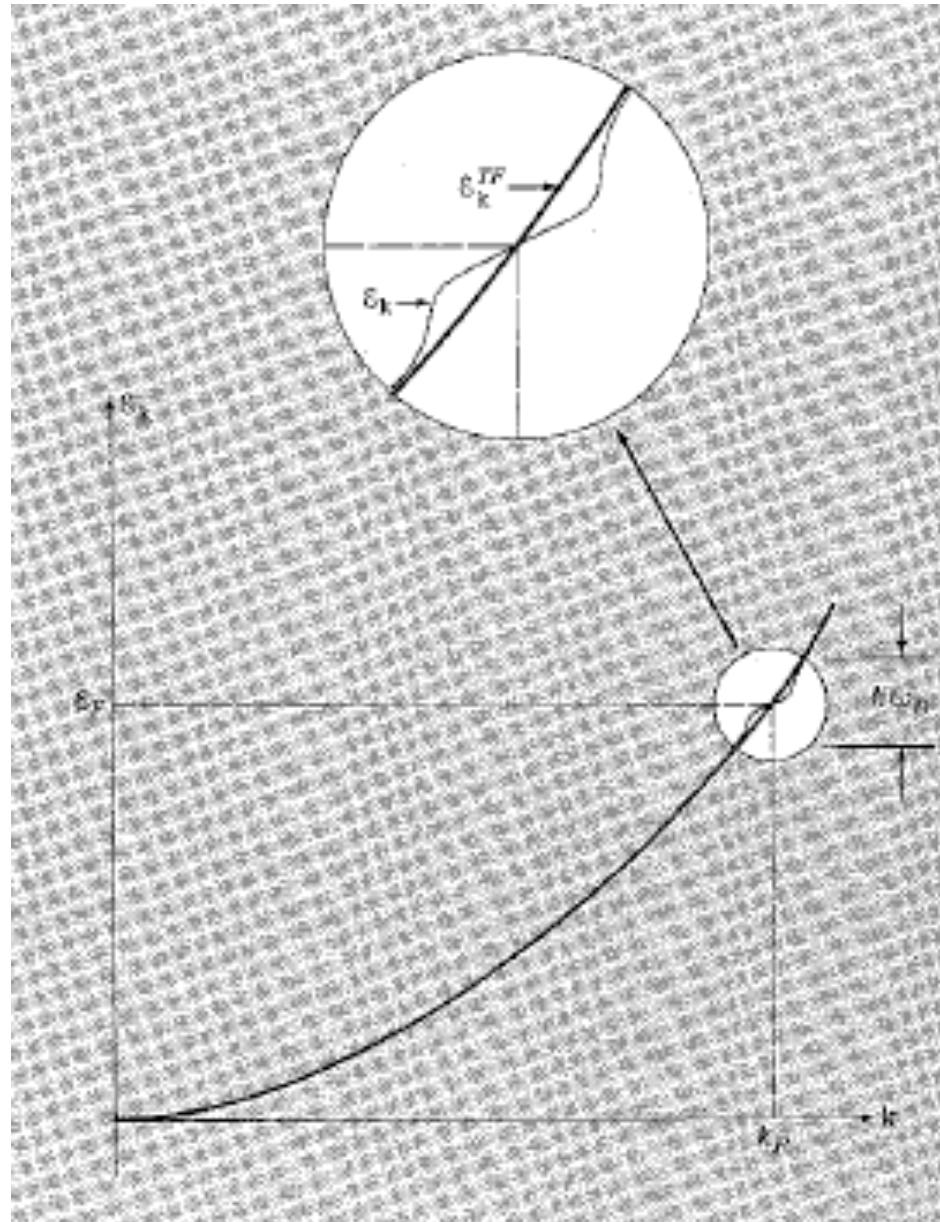
$$\varepsilon_k - \varepsilon_F = \frac{\varepsilon_k^{TF} - \varepsilon_F}{1 + \lambda} \quad (\varepsilon_k - \varepsilon_F < \hbar\omega_D)$$

$$g(\varepsilon_F) = (1 + \lambda) g^0(\varepsilon_F)$$

$$\mathbf{v}(\mathbf{k}) = \frac{\mathbf{v}^0(\mathbf{k})}{1 + \lambda}$$

$$\lambda = \int_{FS} \frac{dS'}{8\pi^3 \hbar v(\mathbf{k}')} \frac{4\pi e^2}{(\mathbf{k} - \mathbf{k}')^2 + k_0^2}$$

# Phonon Contribution to the Electronic Energy-Wave Vector Relation $\varepsilon(k)$



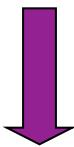
# Electron-Phonon Interaction

Creation of a phonon

$$\varepsilon_{\mathbf{k}} = \varepsilon_{\mathbf{k}'} + \hbar\omega(\mathbf{k} - \mathbf{k}')$$

Absorption of a phonon

$$\varepsilon_{\mathbf{k}} = \varepsilon_{\mathbf{k}'} - \hbar\omega(\mathbf{k} - \mathbf{k}')$$



$T \ll \Theta_D$

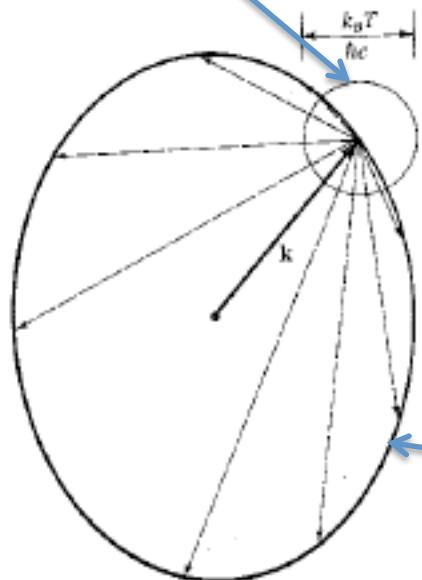


Figure 26.2

Construction of the wave vectors of those phonons allowed by the conservation laws to participate in a one-phonon scattering event with an electron with wave vector  $\mathbf{k}$ . Because the phonon energy is at most  $\hbar\omega_D \ll \varepsilon_F$ , the surface containing the tips of the phonon wave vectors originating from  $\mathbf{k}$  differs only slightly from the Fermi surface. At temperatures well below  $\Theta_D$  the only phonons that can actually participate in scattering events have wave vectors whose tips lie within the small sphere of size  $k_B T / \hbar c$  about the tip of the wave vector  $\mathbf{k}$ .

$T \gg \Theta_D$

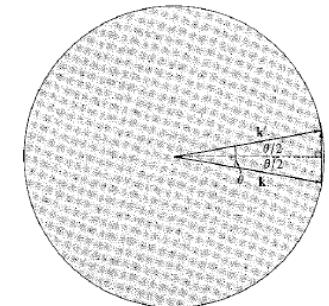
$$\hbar\omega(\mathbf{q}) \ll \varepsilon_F$$

# Electron-Phonon Interaction

Since  $\hbar\omega(\mathbf{q}) \ll \varepsilon_F$ , the main effect of collision of an electron and a phonon is to change the direction of the electron wavevector  $\mathbf{k}$ :

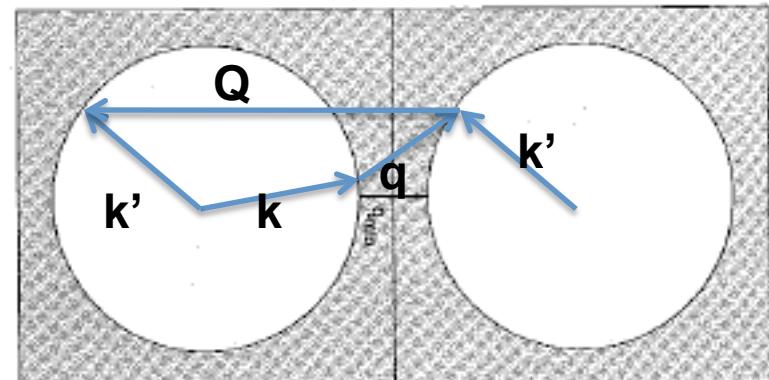
⌘ Normal process:

$$\mathbf{k} + \mathbf{q} = \mathbf{k}'$$



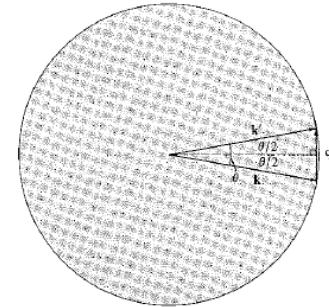
⌘ Umklapp process:

$$\mathbf{k} + \mathbf{q} = \mathbf{k}' + \mathbf{Q}$$



# Electron-Phonon Interaction to Electrical Resistivity

$$\frac{1}{\tau_{el-ph}} = 2\pi k^2 \int_{FS} P(\theta) (1 - \cos \theta) \sin \theta d\theta$$



$\langle P(\theta) \rangle \propto \frac{k_B T}{\Theta_D^2}$  -- scattering transition probability

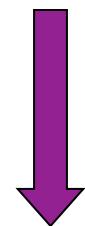
$$\rho = \frac{AT^5}{M\Theta_D^6} \int_0^{\Theta_D/T} \frac{x^5 dx}{(e^x - 1)(1 - e^{-x})}$$

Bloch-Grüneisen formula

$$x = \frac{\hbar\omega_D}{k_B T}$$

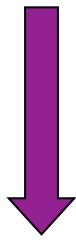
# Electron-Phonon Interaction to Electrical Resistivity at High T

$$x \ll 1$$



$$n(q) = \frac{1}{e(k_B T / \hbar \omega(q)) - 1} \approx \frac{k_B T}{\hbar \omega(q)}$$

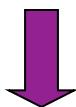
$$\rho = \frac{AT}{4M\Theta_D^2}$$



$$\rho^{el-ph} \propto T \quad T \gg \Theta_D$$

# Electron-Phonon Interaction to Electrical Resistivity at Low T

$$x \gg 1$$



$$\rho = 124.4 \frac{AT^5}{M\Theta_D^6}$$

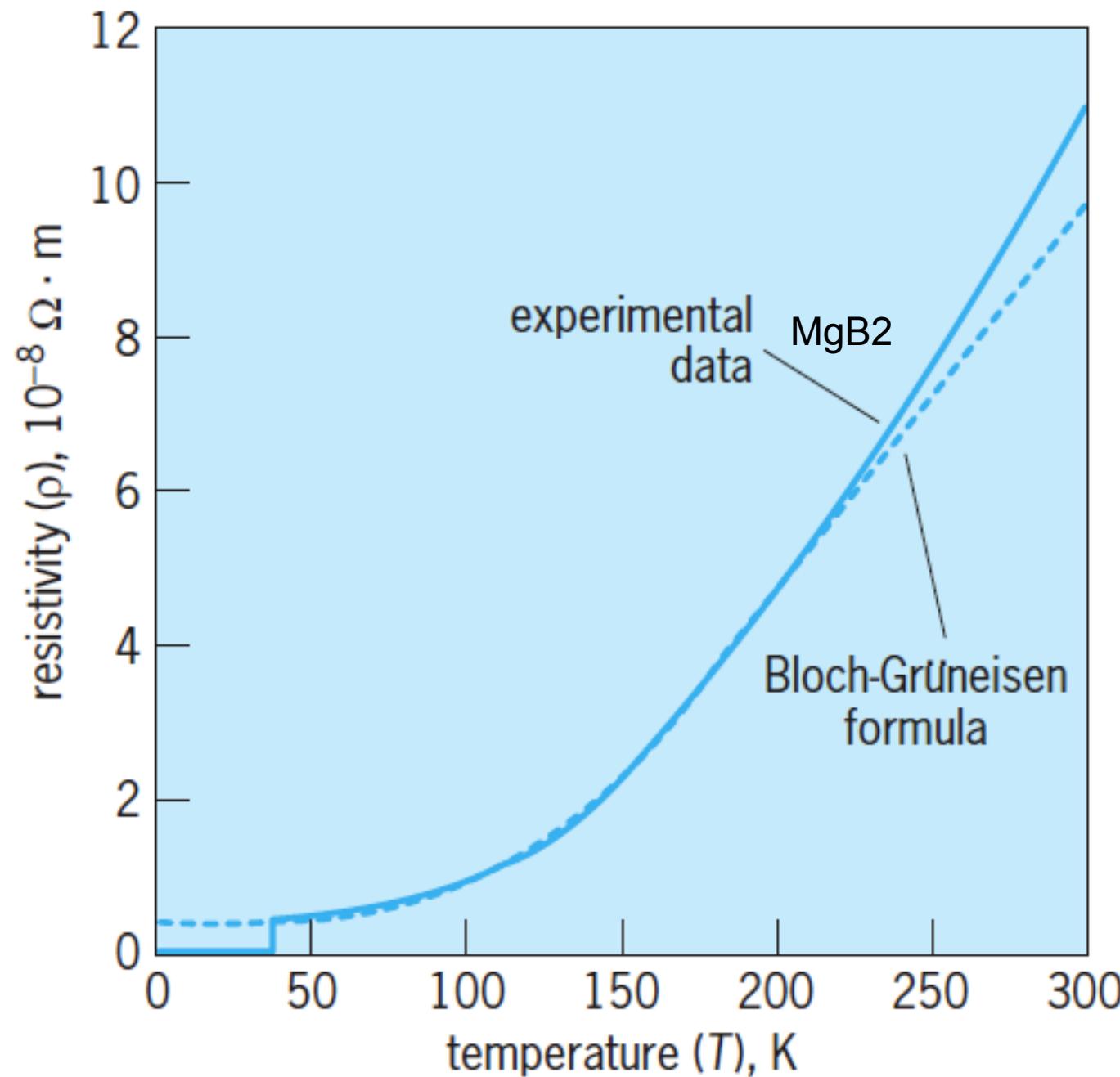


$$\rho^{el-ph} \propto T^5 \quad T \ll \Theta_D$$

# Total Electrical Resistivity in a Metal

$$\rho = \rho_{el-el} + \rho_{el-ph}$$

$$\rho = \begin{cases} \rho_{el-el} + BT \sim BT & T \gg \Theta_D \\ \rho_{el-el} + BT^5 \sim \rho_{el-el} & T \ll \Theta_D \end{cases}$$



# Strange Metals ?

