

# PHYS 7363 - Condensed Matter Physics

**Instructor:** Prof. Rongying Jin, 229B Nicholson Hall, **E-mail:** [rjin@lsu.edu](mailto:rjin@lsu.edu), Tel: 578 0028

**Time & Place:** TuTh 9:00-10:30 AM, 307 Frey Building (polycom with Hillsdale College-Prof. Cyrill Slezak)/106 Nicholson Hall (when polycom is not needed)

**Office Hours:** TuTh 10:30-12:00 PM or by appointment

**Lecture Notes:** <http://www.phys.lsu.edu/classes/fall2010/phys7363/>

**Prerequisites:** Undergraduate level quantum mechanics and statistical mechanics

**Textbook:** N. Ashcroft / N.D. Mermin, "**Solid State Physics**"  
(additional reading material: C. Kittel "**Introduction to Solid State Physics**"  
S.R. Elliott "**The Physics and Chemistry of Solids**")

**Homework:** Assignments will be due every 2<sup>nd</sup> Thursday.

**Exams:** We will have one in-class midterm exam, and one final exam, that will be either a take-home or in-class final.

**Grading:** Homework 40%, Midterm 30%, and Final 30%

**Outline:** The course schedule below is approximate and will be adjusted as the course proceeds, especially if we get behind or ahead of schedule.

Week	Dates	Notes	Chapters
1	Aug. 23-27		add: Crystal Growth; 4: Crystal Lattices;
2	Aug. 30-Sept.3		5: Reciprocal Lattice; 6: X-Ray Diffraction
3	Sept. 4-10	Mon. holiday	1: Drude Theory; 2: Sommerfeld
4	Sept. 13-17		2: Sommerfeld; 8: Bloch's Theorem
5	Sept. 20-24		8: Bloch's Theorem; 9: Electrons in a Weak Periodic Potential
6	Sept. 27-29		10: Tight binding
7	Oct. 4-8		12: Semiclassical Dynamics; 14: Measuring Fermi Surface
8	Oct.11-15	Midterm Exam (Thursday)	14: Measuring Fermi Surface; 17: Beyond the Independent Electron Approximation
9	Oct. 18-22	Thurs. & Fri. Fall break	22: Classical Harmonic Crystal; 23: Quantum Crystal
10	Oct. 25-29		23: Quantum Crystal; 26: Phonons
11	Nov. 1-5		28: Homogeneous Semicond.
12	Nov. 8-12		29: Inhom. Semicond.; 30: Defects in Crystals
13	Nov. 15-19		31: Diamagnetism and Paramagnetism
14	Nov. 22-24	Fri. holiday	32: Magnetic Interactions; 33: Magnetic Ordering
15	Nov.29-Dec.3	Classes end	34: Superconductivity
16	Dec. 6-10	Final Exam	

# *Chapter Add I:*

## *Materials Synthesis & Preparation*

---

**Synthesis:** formation of a new chemical product from different starting materials

*chemical  
process*

**Preparation:** the same chemical formula but different physical forms

*physical  
process*

---- bulk versus nano/film

---- single crystals versus polycrystals



*Why do we need to know how materials  
are synthesized and prepared ?*

---

**No materials**



*No solid-state physics*

# *Synthesis & preparation procedure*

---

**Gas to solid**

**Liquid to solid**

**Solid to solid**

# ***Gas to solid synthesis and preparation***

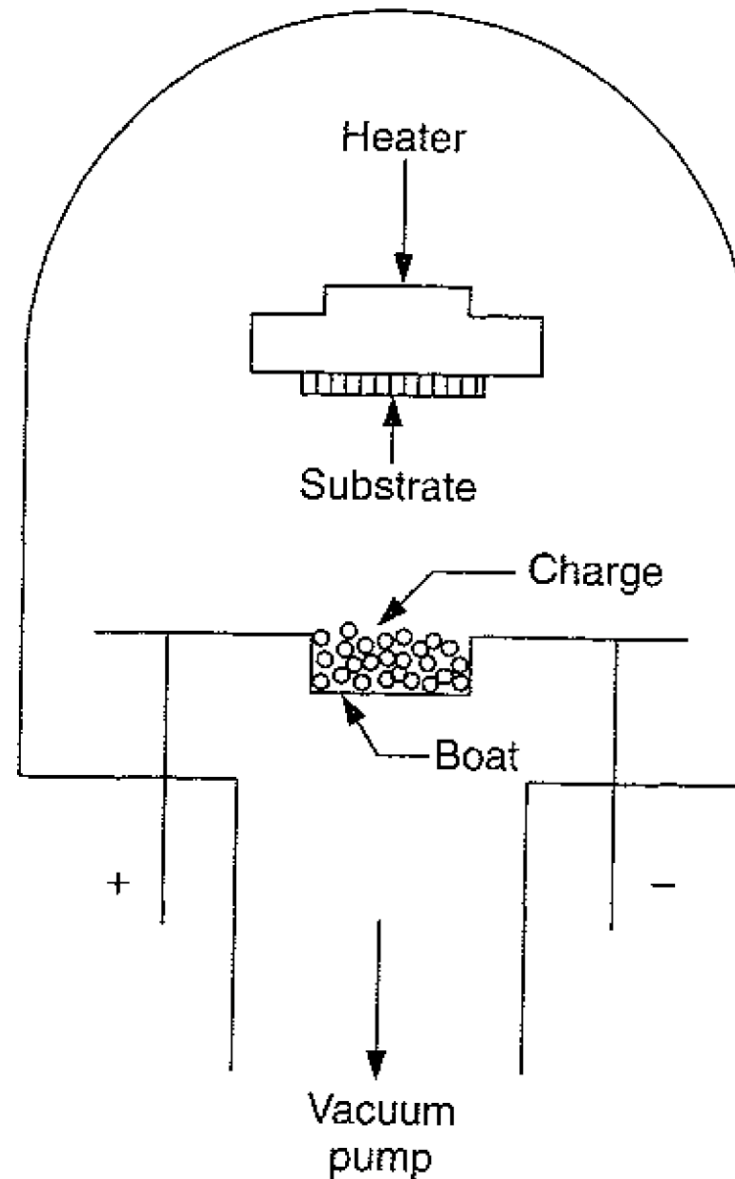
---

**Vapor deposition**

**Chemical vapor deposition (CVD)**

**Sputtering**

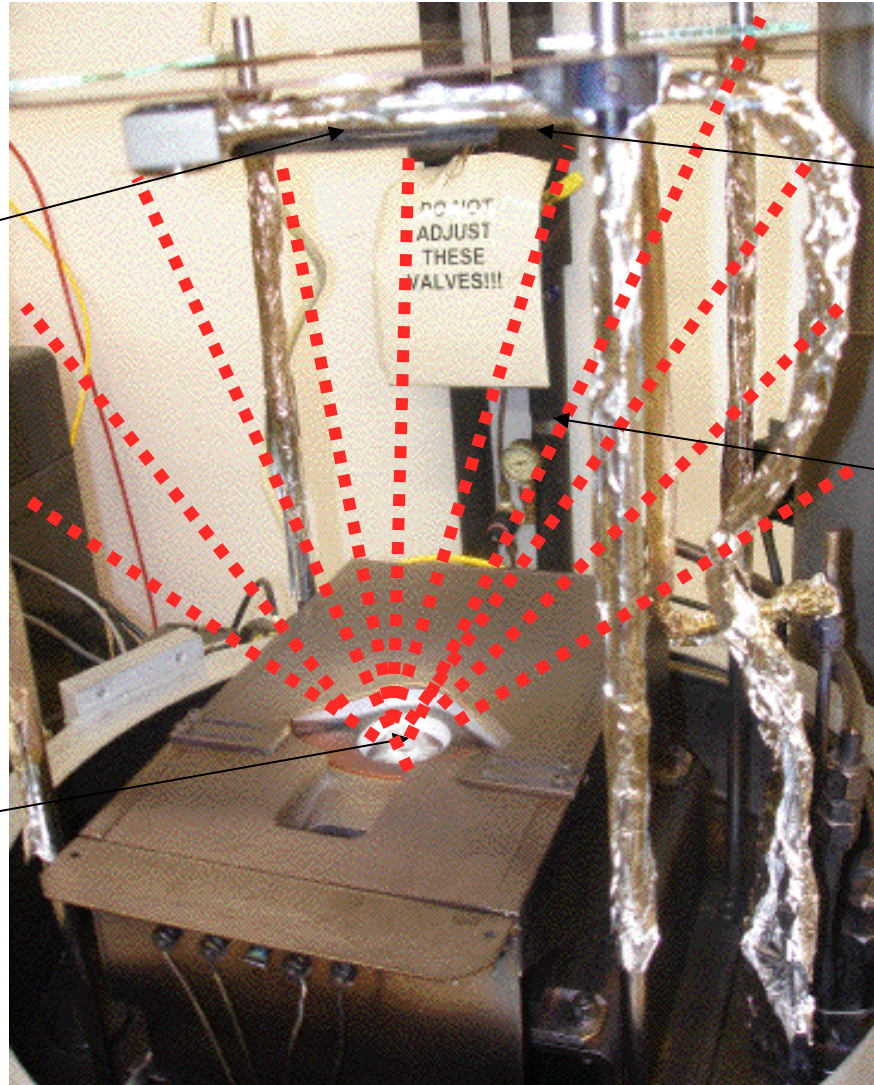
# *Vapor Deposition*



# *Vapor Deposition (continued)*

**Substrate**  
( $T_{\text{cold}}$ )

**Source**  
( $T_{\text{hot}}$ )



**condensation**

**Evaporated  
material**



# *Vapor Deposition (continued)*



**Vacuum  
chamber**

***WHY?***

**Vapor deposition**



**Material from  
one form to  
another form**

# ***Vapor Deposition (continued)***

---

**Other Issues:      Contaminations**

**Chemical decomposition**

# *Vapor Deposition (continued)*

---

## **Composition Control**

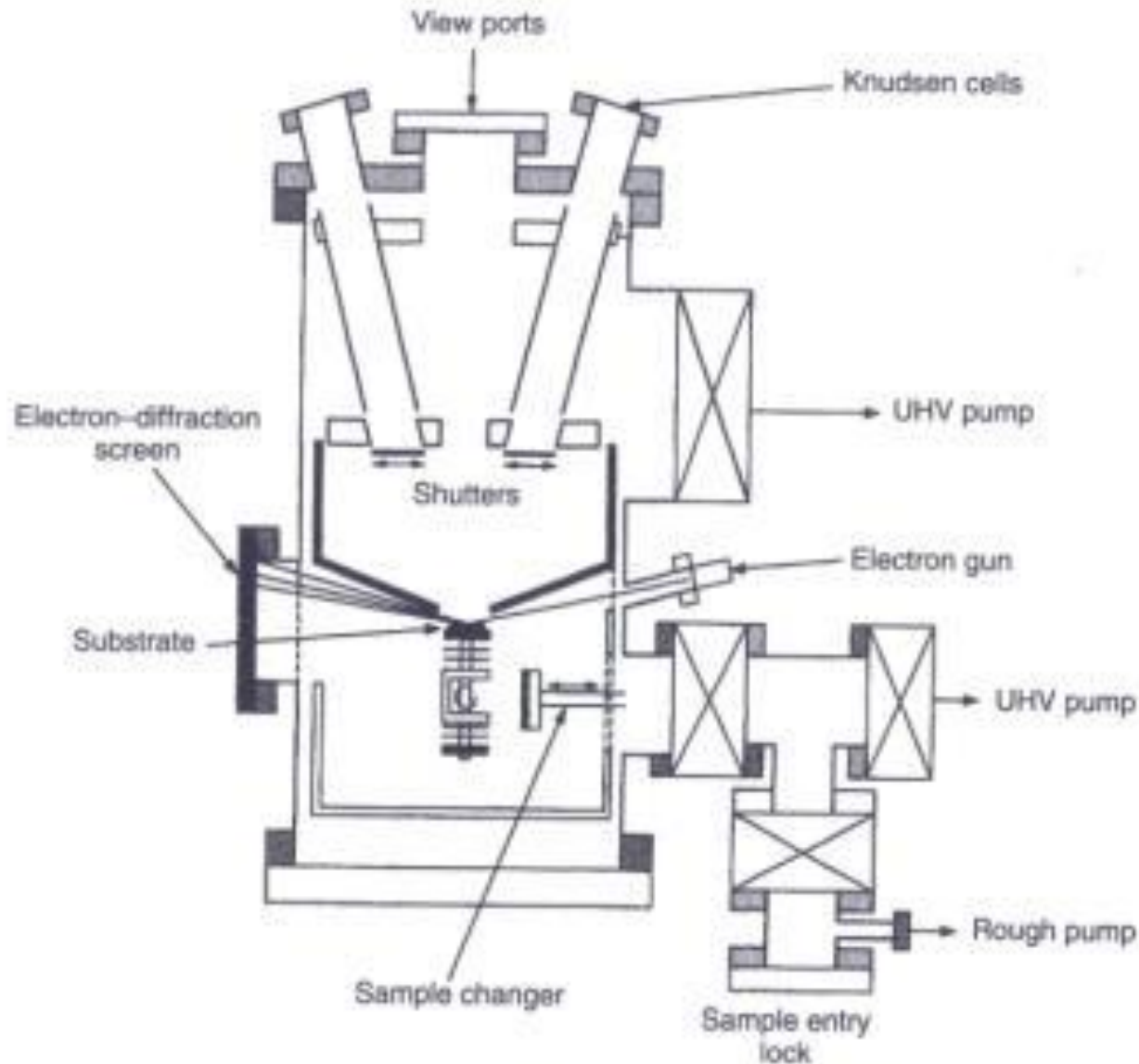
**Molecular-beam epitaxy (MBE)**

**Pulsed-laser deposition (PLD)**

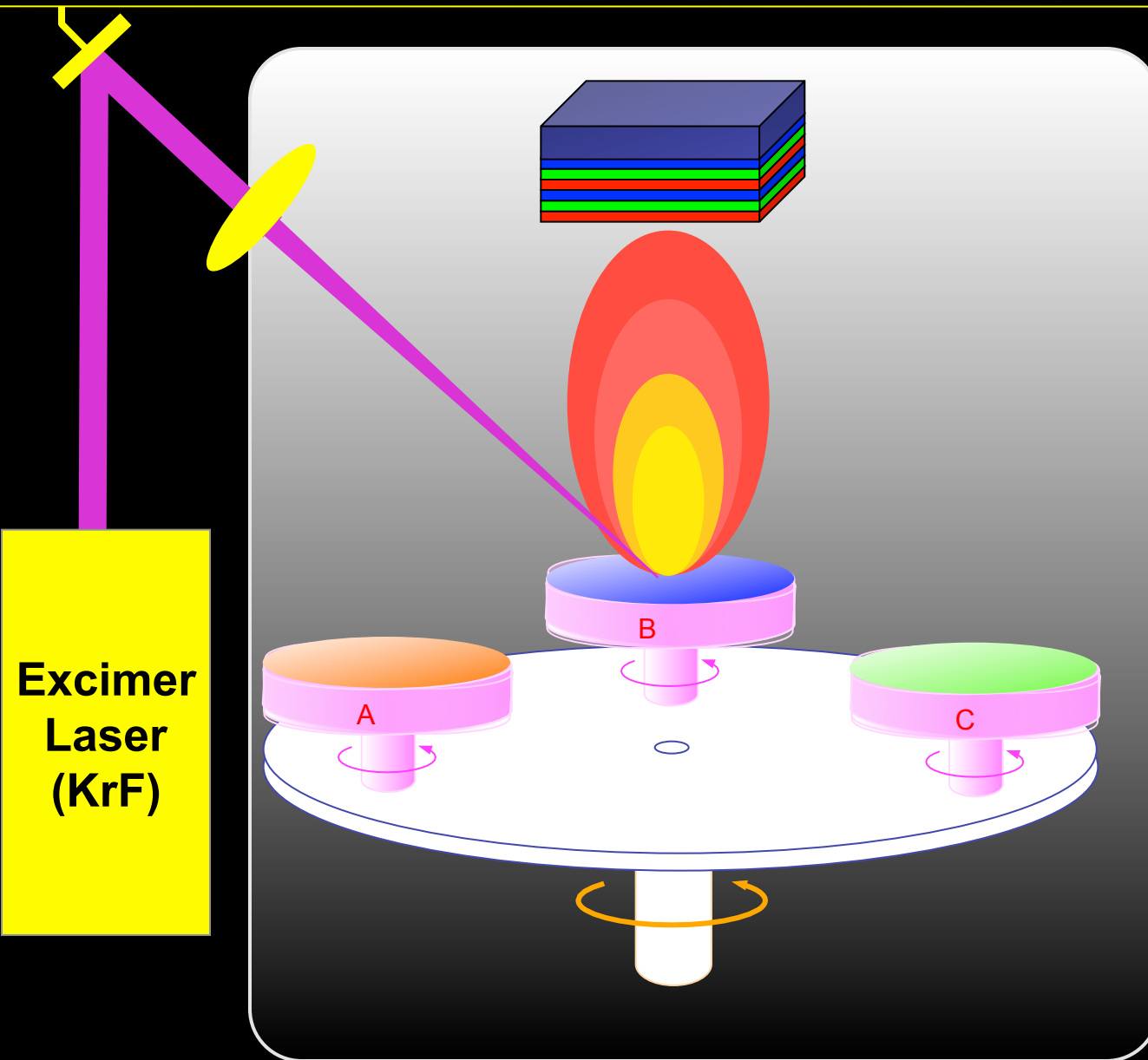
**Synthetic techniques**



# *Molecular-Beam Epitaxy (MBE)*



# Pulsed-Laser Deposition



# *Chemical Vapor Deposition (CVD)*

---

**A + B + ... → wanted product + unwanted**

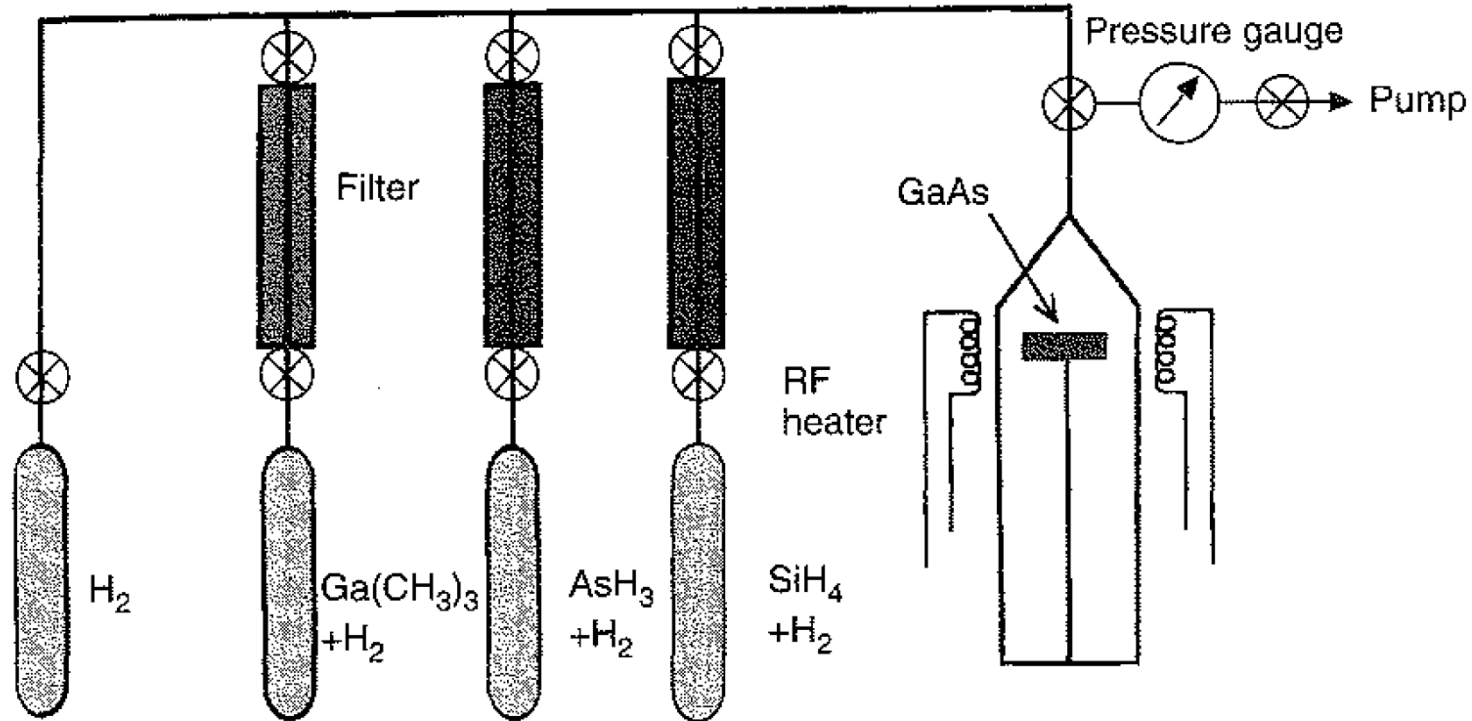


**usually in  
gas form**

**Different from: MBE and PLD techniques**

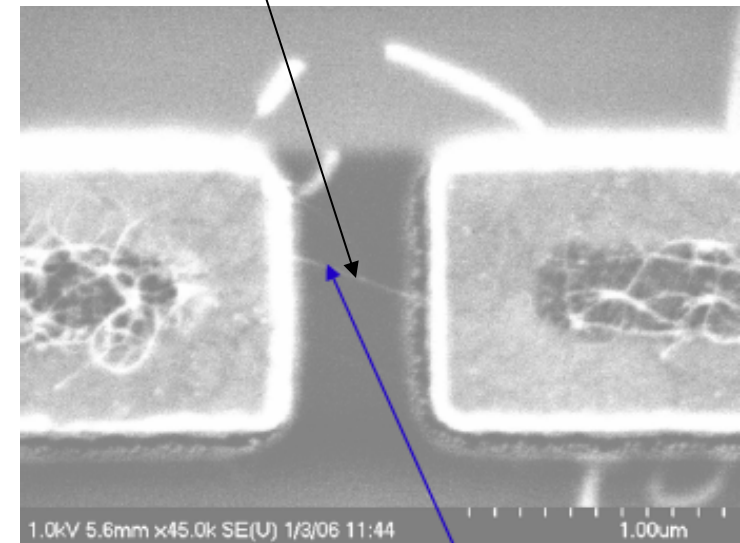
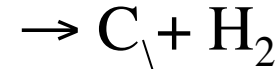
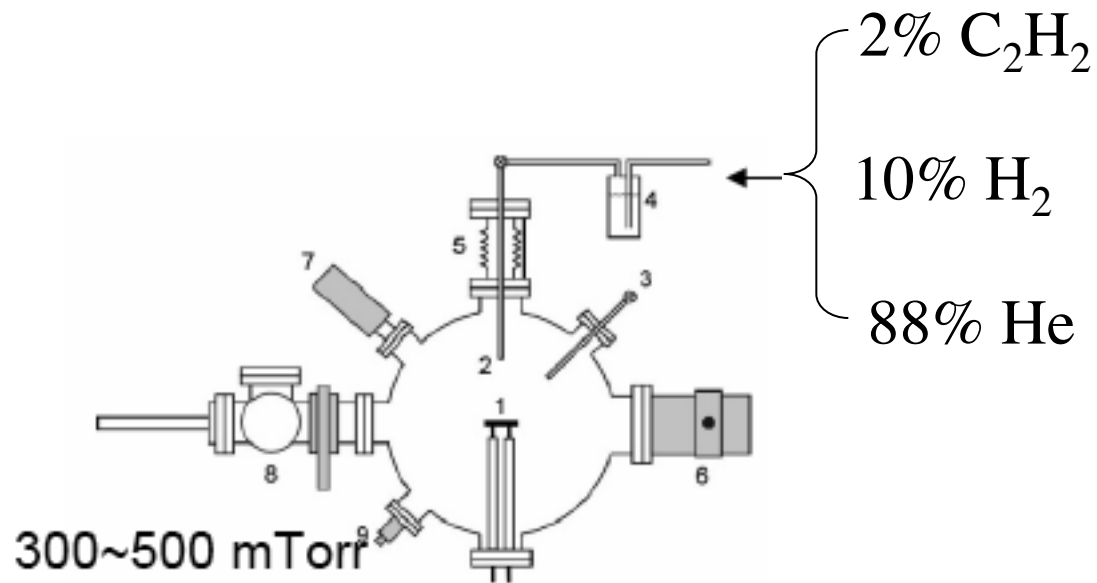
**A + B + ... → C (includes all elements from A & B)**

# *Chemical Vapor Deposition: Example I*



↑  
Metal-Organic → MOCVD

# *Chemical Vapor Deposition: Example II*



**Single-wall  
Carbon nanotube**

# ***Chemical Vapor Deposition (continued)***

---

**Be familiar with special terms:**

**Thermal - CVD**

**Photo - CVD**

**Plasma-enhanced - CVD**

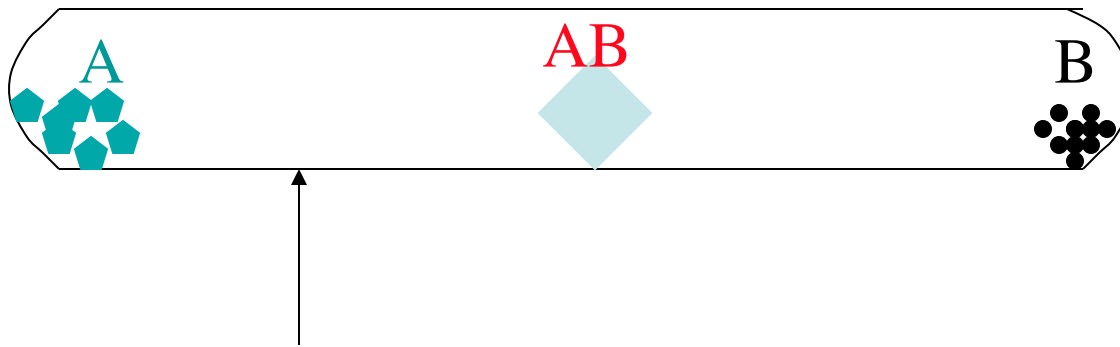
**MOCVD**

**MOMBE/MOCBE**

**Vapor-phase transport/chemical  
transport technique**

# *Vapor-Phase/Chemical Transport Technique*

---



Sealed tube (glass, quartz, stainless steel...)



Boiling T < 800°C

# *Sputtering Deposition*

---

**Difference from vapor deposition:**

**The target material is usually electrically conductive so that it can act as an electrode**



**attract positively charged ions from the plasma**



**sputter target materials**

**For insulating target materials, a.c. electrical field has to be applied -- using half circle**



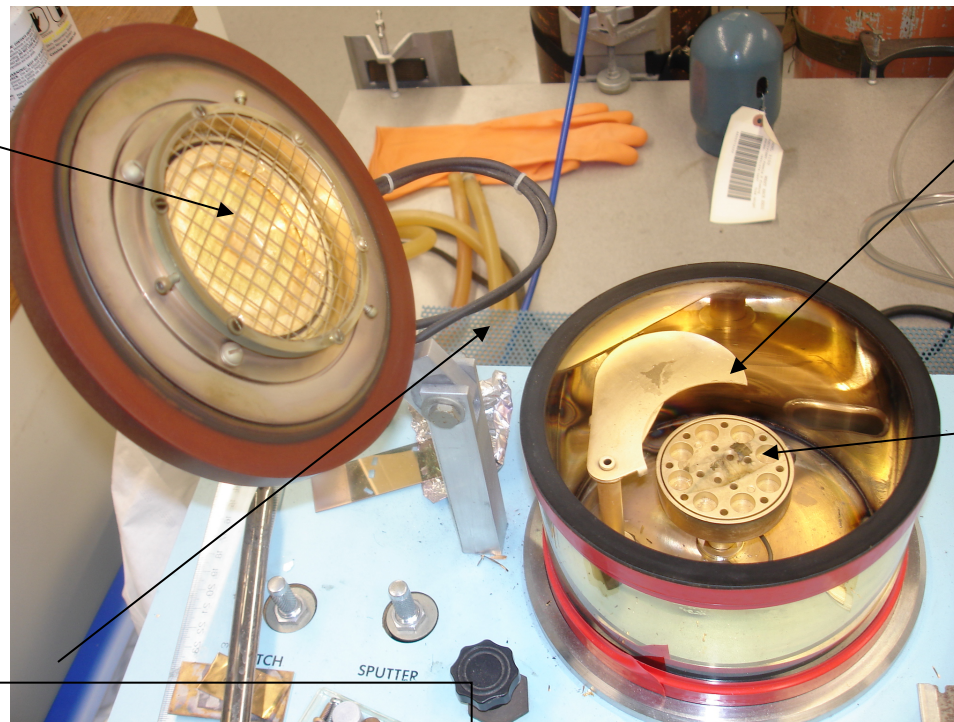
# *Sputtering Deposition: A Simple Appartus in Our Lab*

Ar gas

Vacuum  
chamber



# *Sputtering Deposition (continued)*



shutter

target

substrate

$\text{Ar}^+$  plasma  
will be generated in the chamber  
when sputtering

# ***Summary of Gas - Solid Synthesis and Preparation Techniques***

---

**Vapor deposition**

**Chemical vapor deposition**

**Sputtering**

# ***Liquid to Solid Synthesis and Preparation***

---

**Solidifying materials from:**

**Melt**

**Liquid quenching**

**Solution**

**Sol-gel**

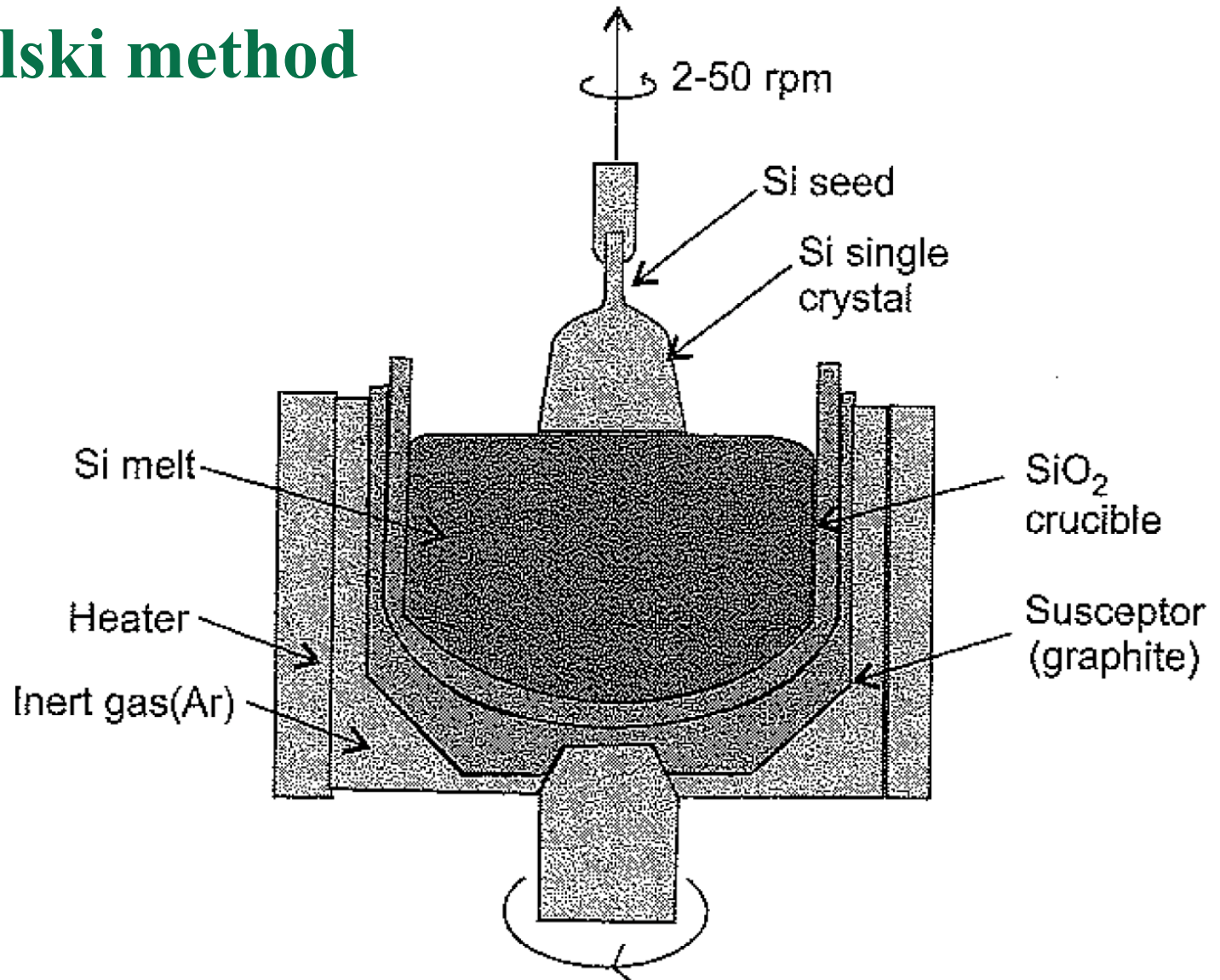
**Ion exchange and intercalation**



# *Single Crystal Growth from Melt*

## Czochralski method

constant T



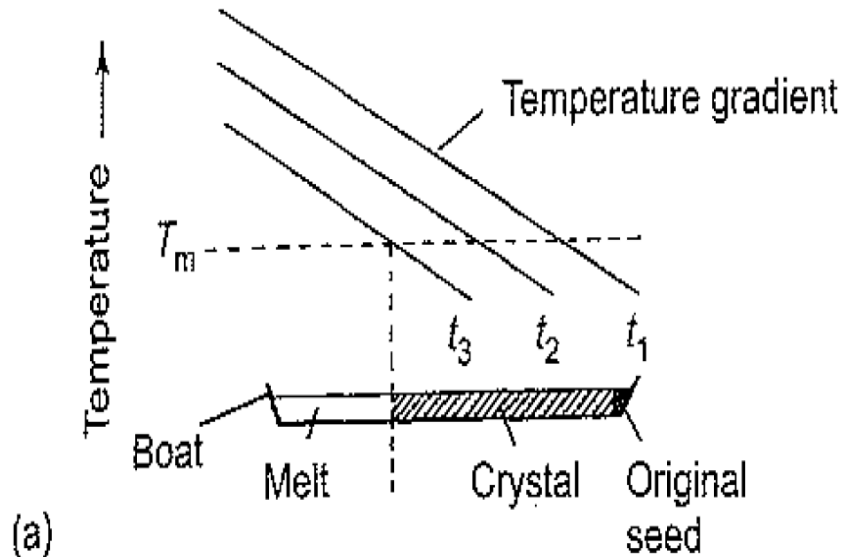
# *Single Crystal Growth from Melt*

---

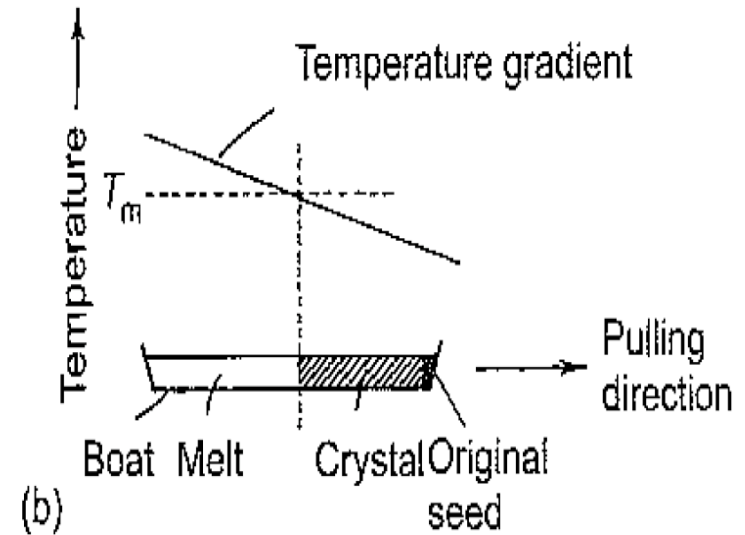


**Czochralski method**

# *Single Crystal Growth from Melt (continued)*



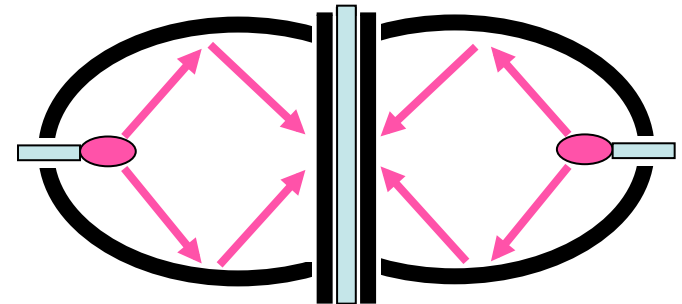
**Bridgeman technique:**  
maintain constant  $\Delta T$ ,  
while cooling down  $T$



**Stockbarger technique:**  
move the crucible  
constant  $\Delta T$

# *Single Crystal Growth from Melt (continued)*

## Floating-Zone technique



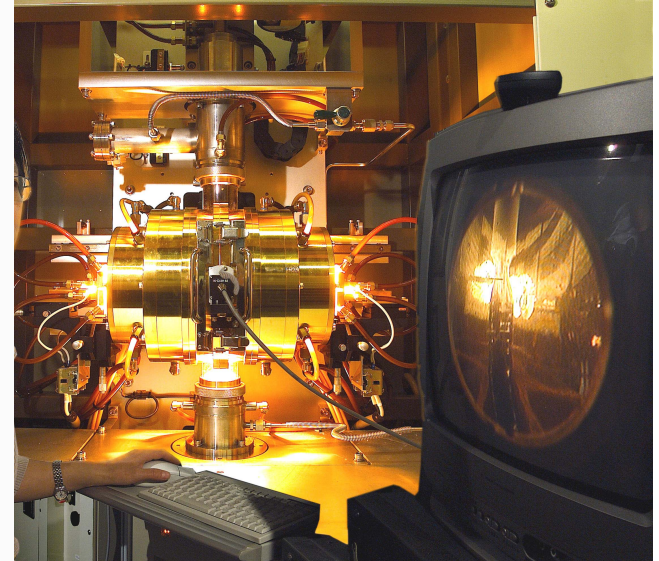
There is one [in](#) my lab – room 62



# ***Floating-Zone Technique***

---

**Crucible Free**



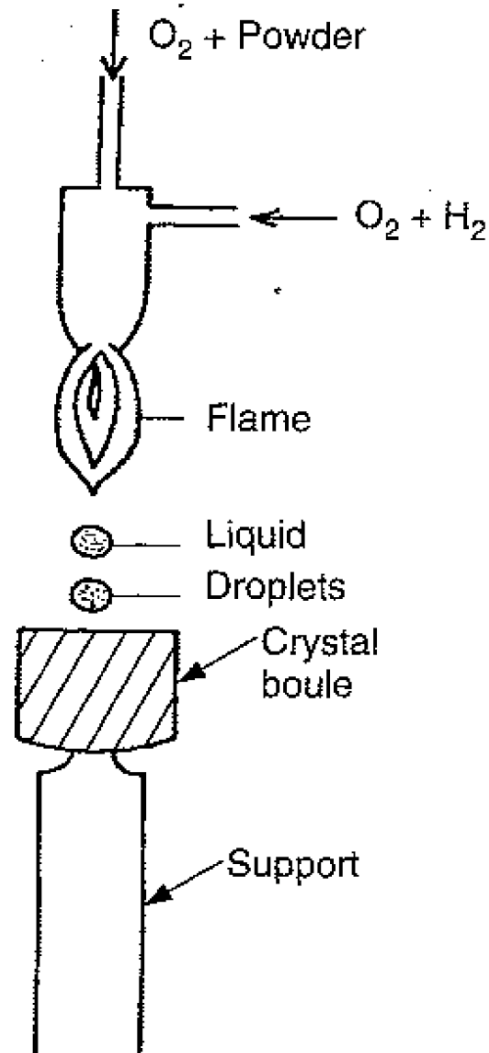
**Contamination  
Free**



# *Verneuil Method*

## *-- another crucible-free technique*

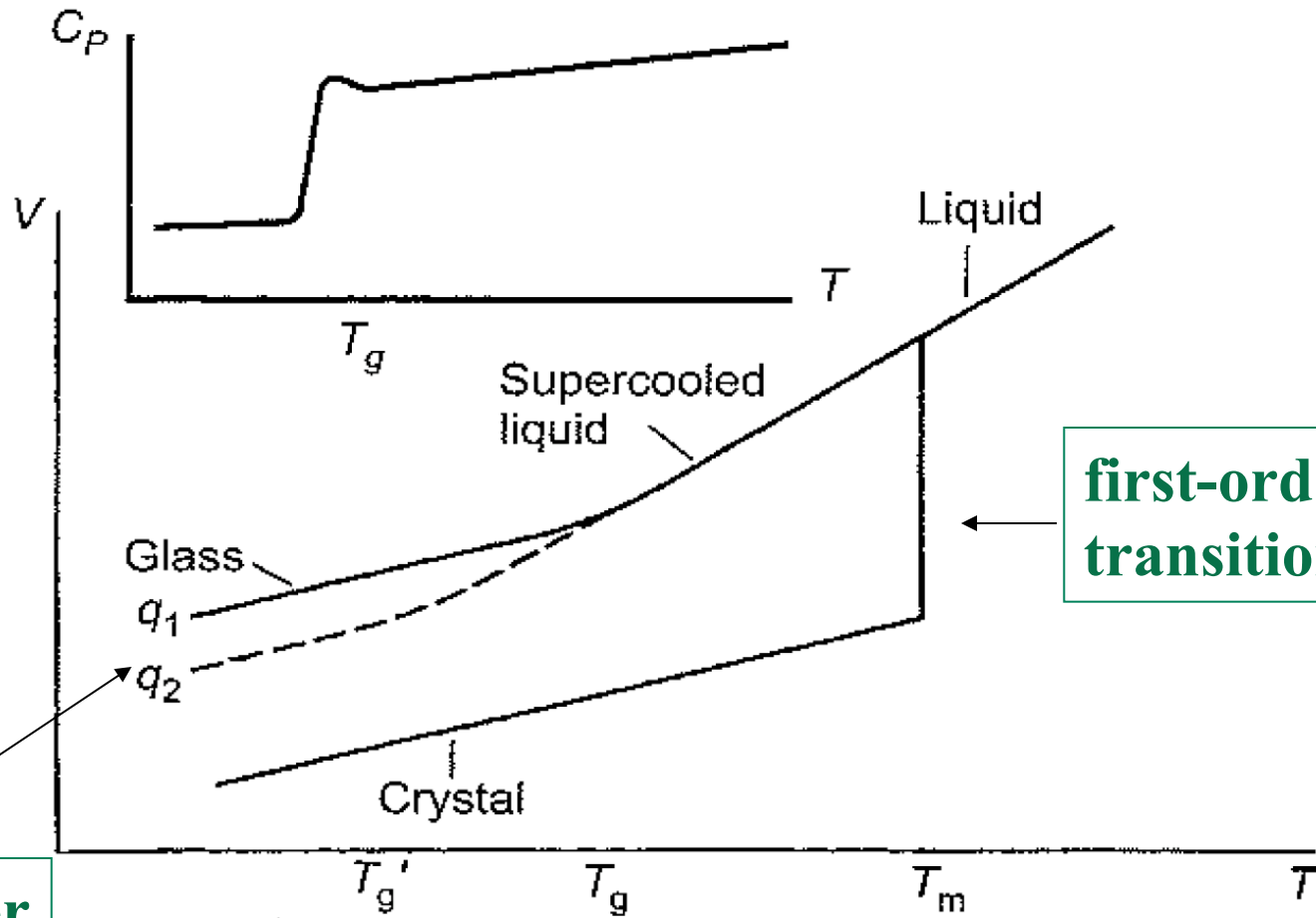
---



# *Arc Melt Method*



# *Final Form Depends on Cooling Rate*



# *Single Crystal Growth from Solution (flux method)*

---

**Solution (flux):**

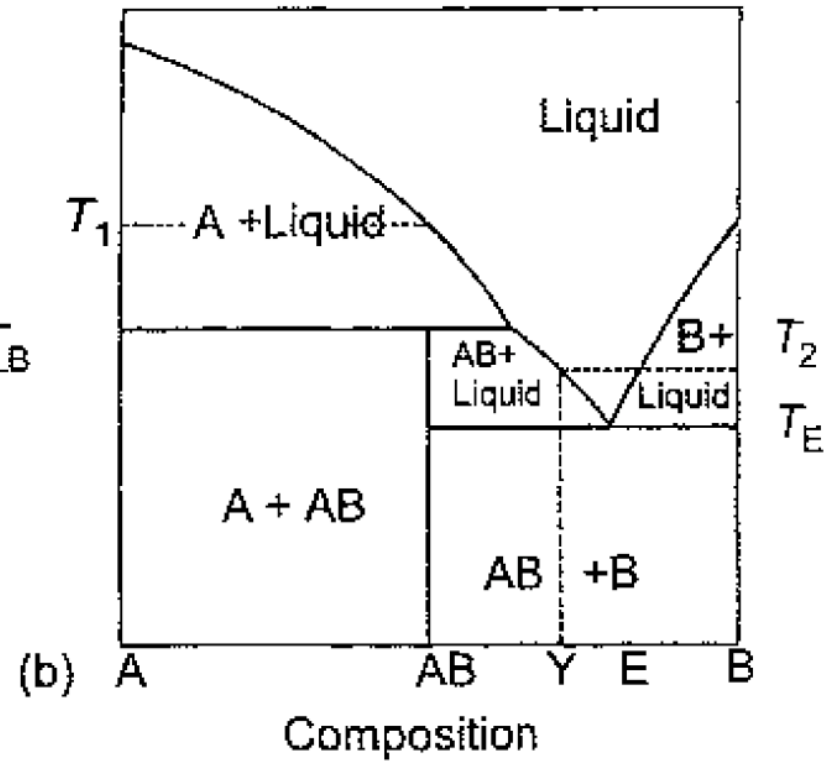
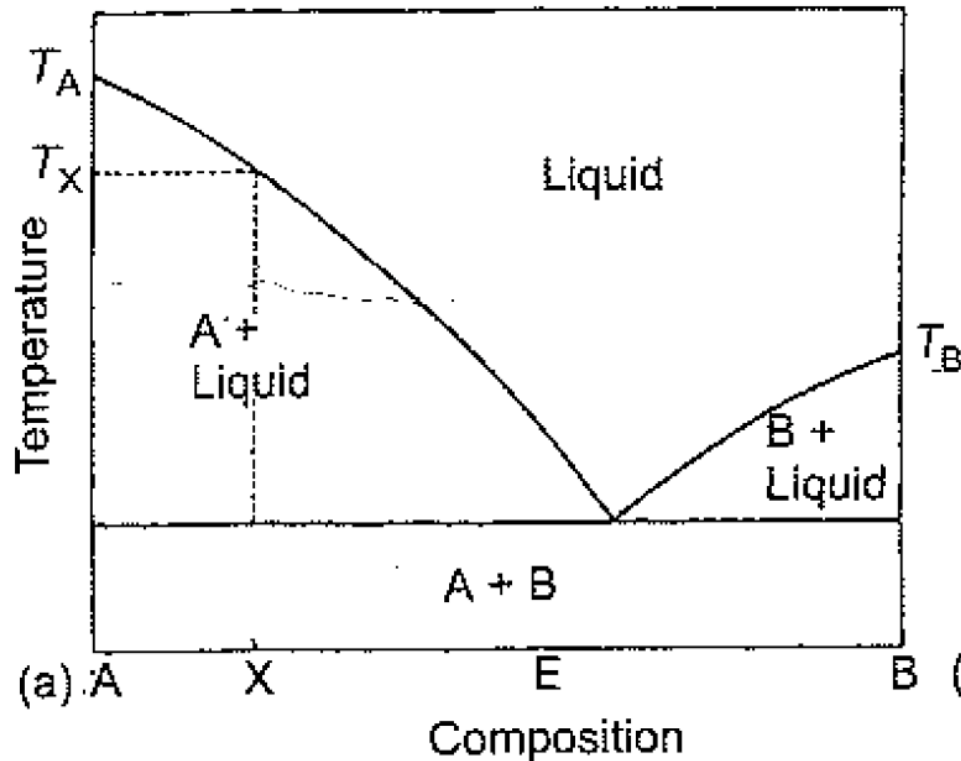
**dissolve the material to be crystallized**

**Crystallization:**

**by reducing the temperature;**

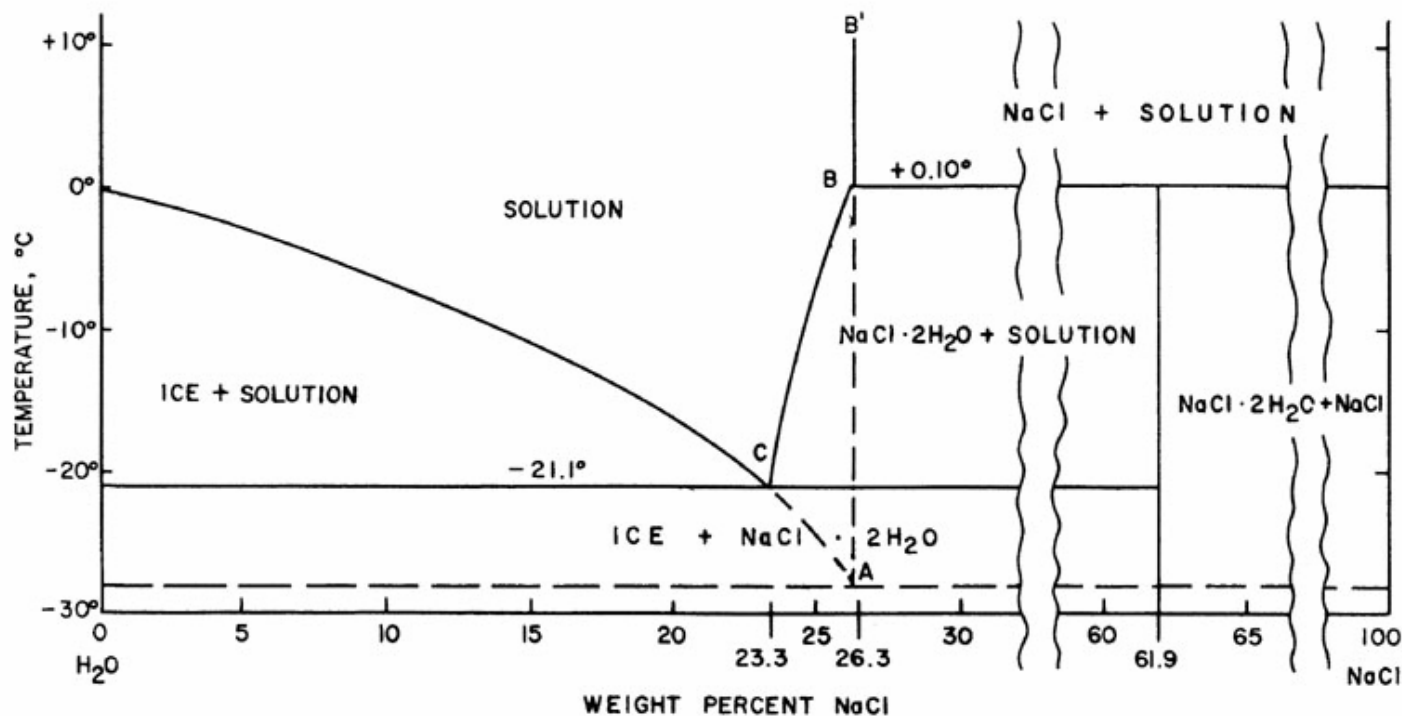
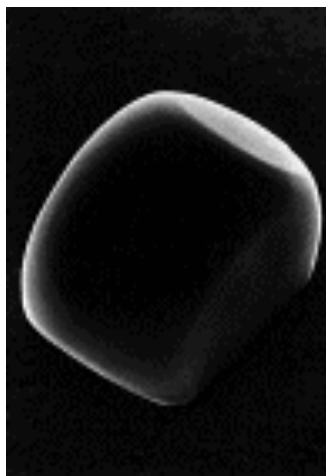
**by removing some of the solvent (flux)**

# Single Crystal Growth from Solution (flux method)





# Example I: NaCl Single Crystals Grown from $H_2O$



The system  $H_2O$ - $NaCl$ . Data from various sources (Int. Crit. Tables IV, p. 235, 1928).

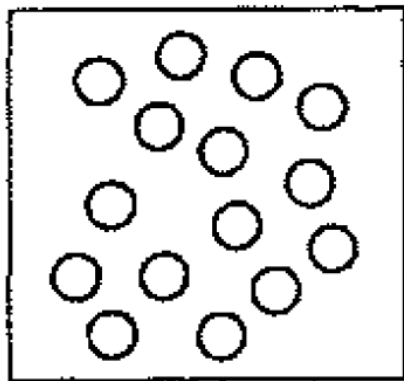




# *Materials with Flexible Shapes and Forms Using Sol-Gel Method*

electrically  
-charged  
surface layer

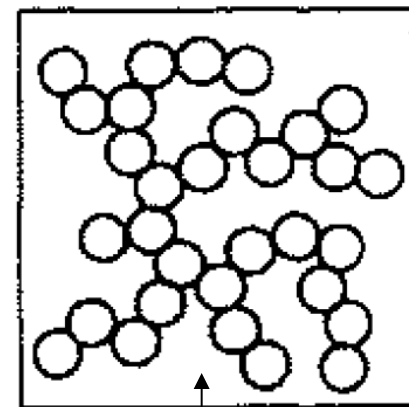
Sol



tune  
temperature  
chemicals

dry out  
by remove  
the solution

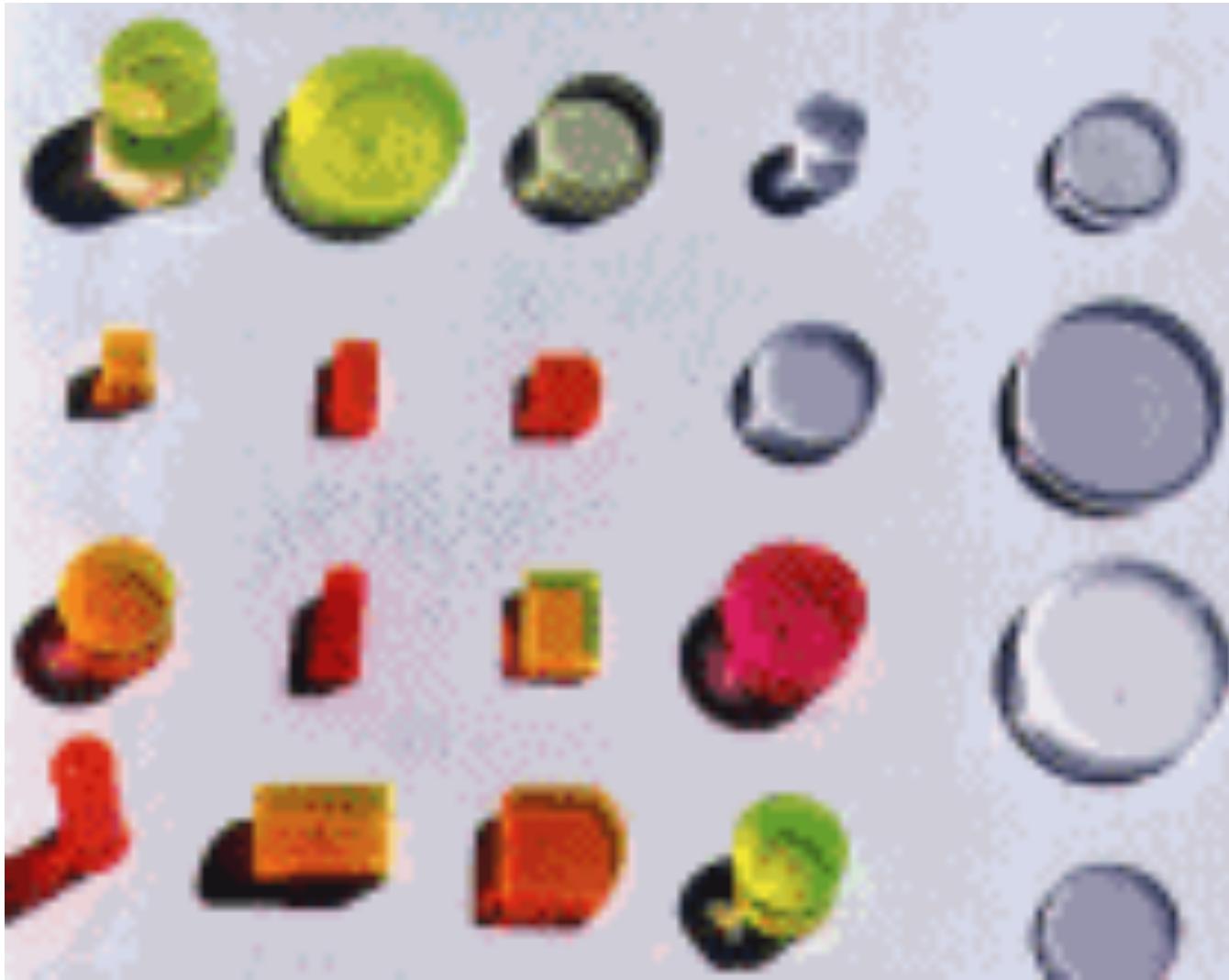
Gel



clusters  
 $\rho \propto r^d$

# *Smart Optical Materials by Sol-Gel Method*

---



# *Single Crystal Growth from Ion Exchange*

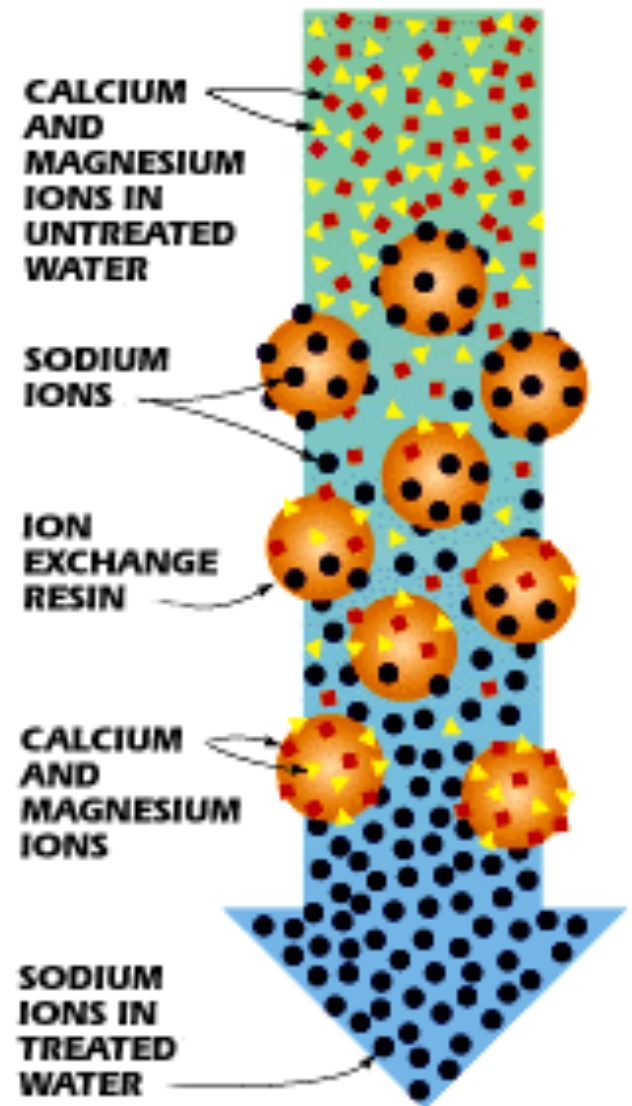
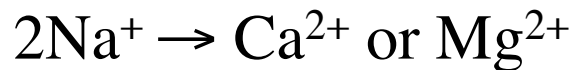
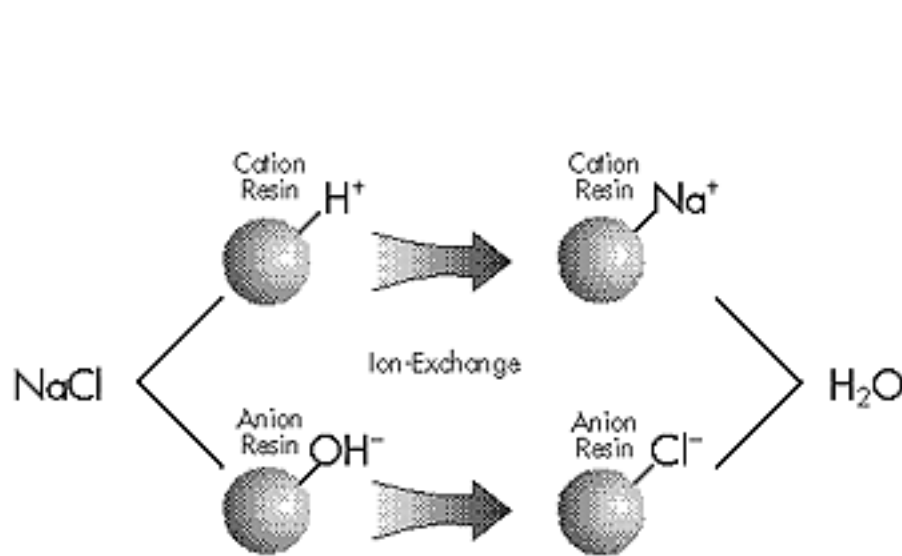


**The replacement of one type of ion present in the structure of a material by another type**

**Easily exchangeable cations are monovalent**

# *Example:*

## *Water Softening via Ion Exchange*



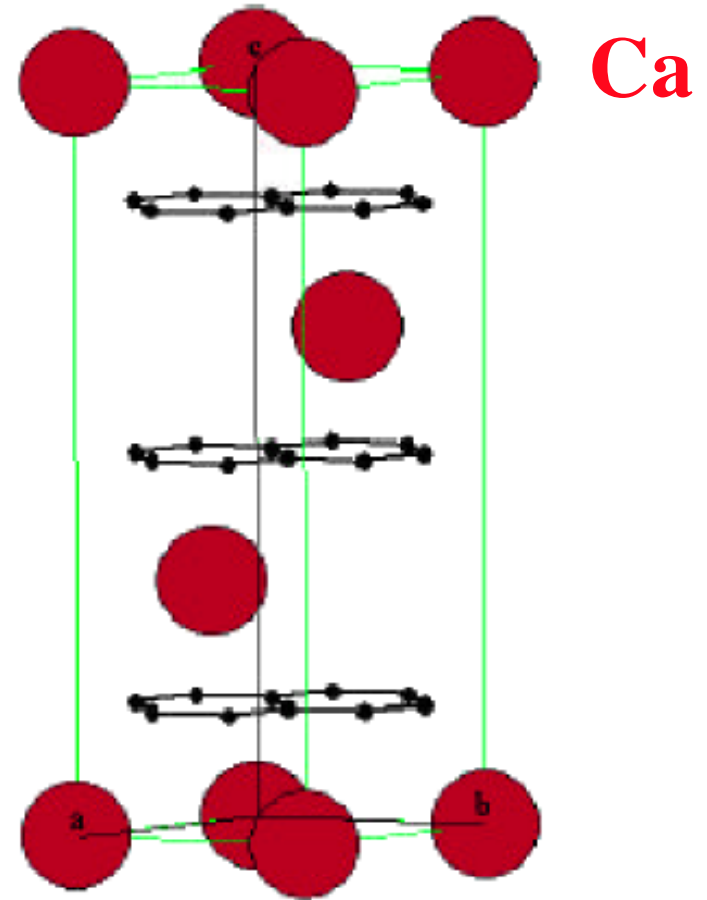
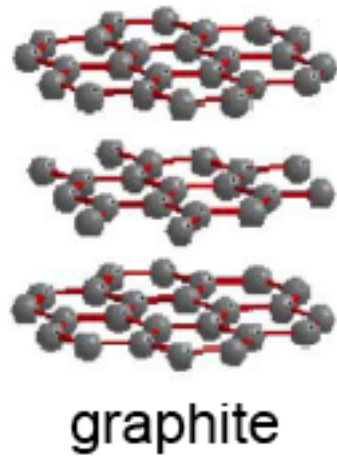
# *Single Crystal Growth from Intercalation*



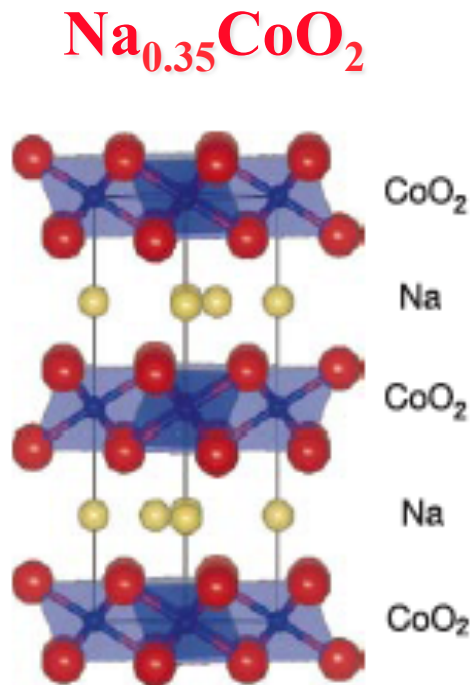
**The insertion of a chemical species into the structure of a material which did not exist before**

# *Example I: Ca Inserts into Graphite*

---

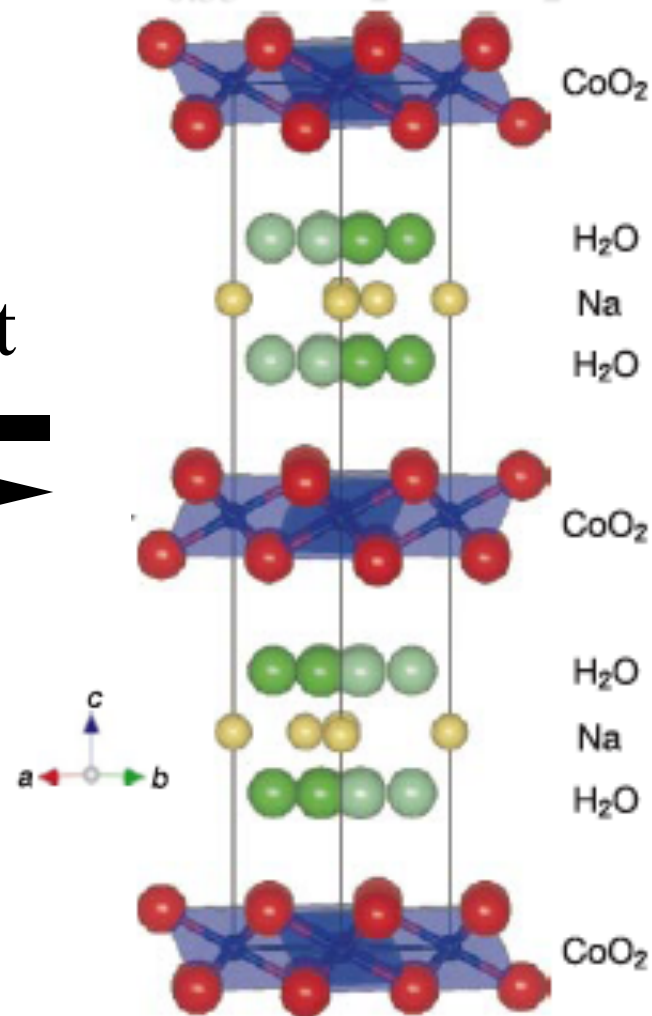


# Example II: Water Inserts into $\text{Na}_{0.35}\text{CoO}_2$



NO S.C.

water out  
←  
→  
water in



S.C.

# ***Summary: Liquid to Solid Synthesis and Preparation***

---

**Melt**

**Liquid quenching**

gentle  
chemistry  
(*chimie douce*)

**Solution**

**Sol-gel**

**Ion exchange and intercalation**



# ***Solid to Solid Synthesis and Preparation***

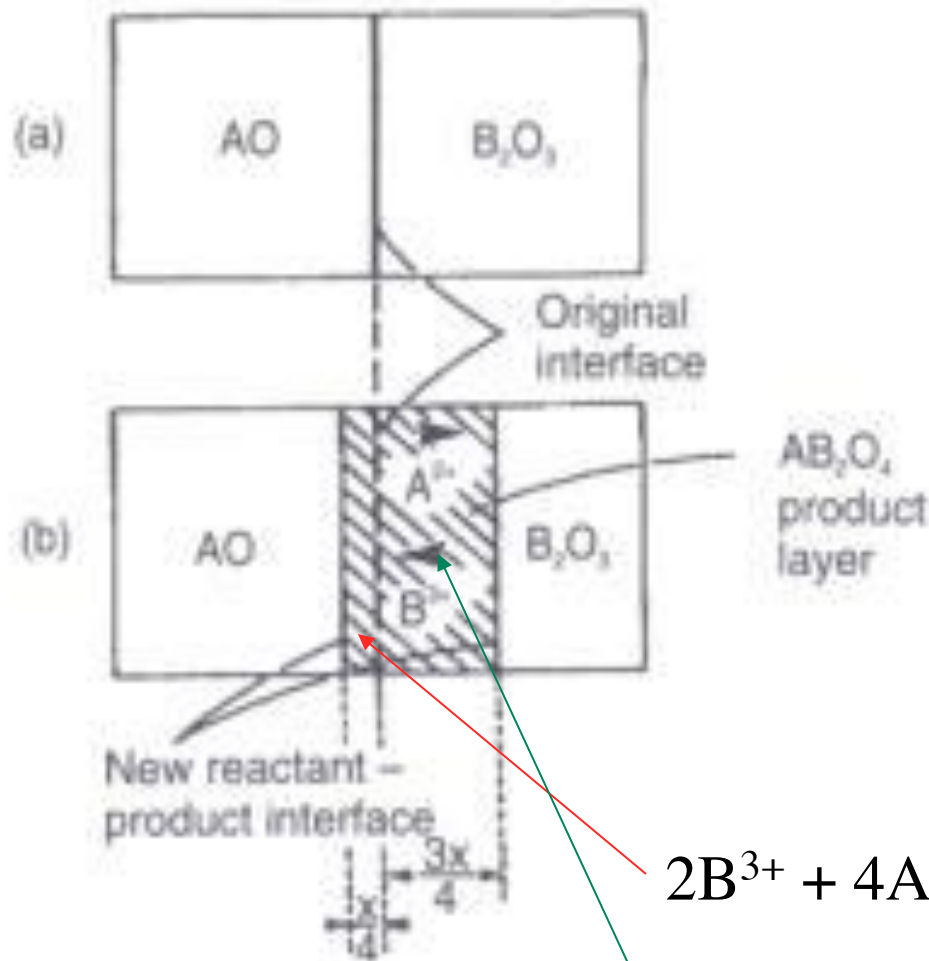
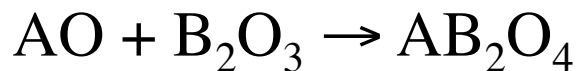
---

**Solid-state reactions**

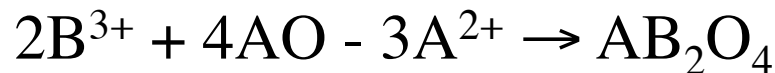
**High-pressure synthesis and preparation**

**Glass ceramics**

# ***Solid State Reactions: Slow***



**In practice, try to mix starting materials AO and B<sub>2</sub>O<sub>3</sub> as well as possible, so that there are more interfaces (contact) for reaction**



# ***High-Pressure Synthesis and Preparation***

---

**solid**

**high pressure**

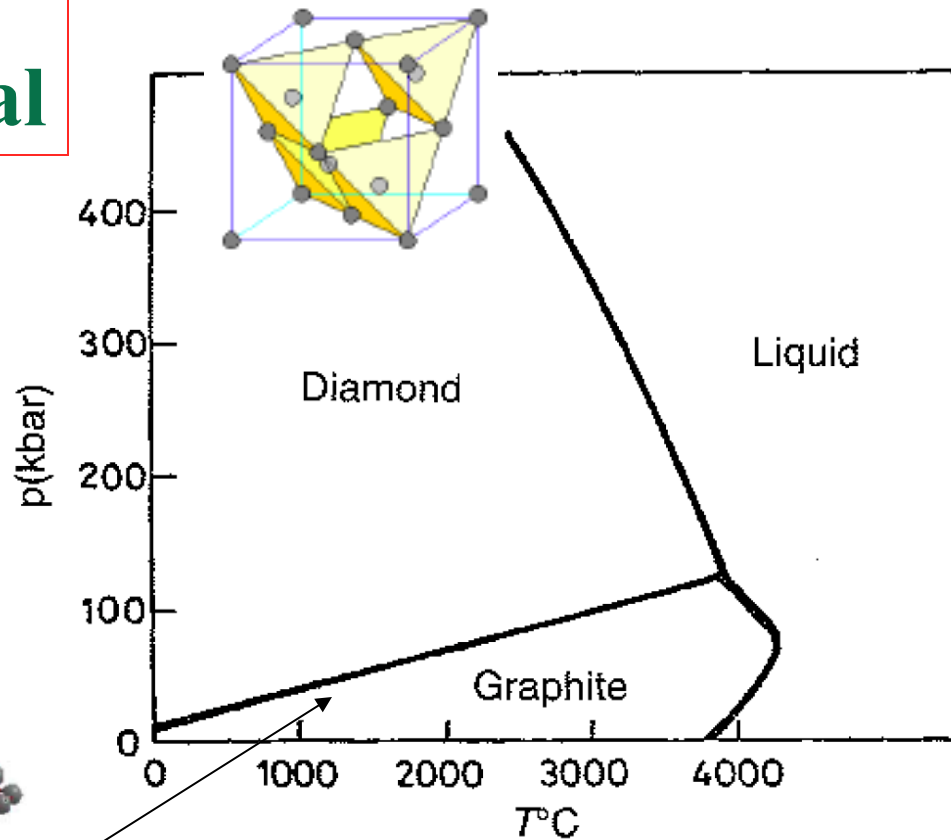
**solid with  
higher density or  
higher coordination number**

**pressure quenching**

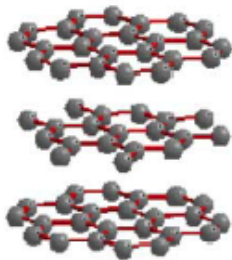
**stabilized at ambient pressure**

# ***Example: Diamond Formation via High-Pressure***

**hardest  
bulk material**



**soft**



# ***Glass-Ceramics Synthesis and Preparation***

---

**fine-grained ( $\sim 0.1 \mu\text{m}$ ) polycrystalline  
microstructure**

**nuclei-size control**

**$T_{\text{nucleation}}$ ,  $T_{\text{growth}}$**

# *Summary:*

## ***Solid to Solid Synthesis and Preparation***

---

**Solid-state reactions**

*(widely used by condensed-matter physicist)*

**High-pressure synthesis and preparation**

**Glass ceramics**



## Homework today (due on Sept. 2, 2010)

1. Problems 1.4 in pages 39 of the copy (Synthesis and Preparation of Materials);
2. Problems 1.6 in pages 40 of the copy (Synthesis and Preparation of Materials);
3. From a consideration of the phase diagram for carbon (Fig. 1.26), how would you try to synthesize diamond?
4. If you are asked to make 1 mole  $\text{MgAl}_2\text{O}_4$  through solid-state reaction (eqn. 1.26), what kinds of starting materials (composition and amount for each material) do you need?